

Group quasi-representations and almost flat bundles

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Abstract. We study the existence of quasi-representations of discrete groups G into unitary groups $U(n)$ that induce prescribed partial maps $K_0(C^*(G)) \rightarrow \mathbb{Z}$ on the K-theory of the group C^* -algebra of G . We give conditions for a discrete group G under which the K-theory group of the classifying space BG consists entirely of almost flat classes.

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1. Introduction

The notions of almost flat bundle and group quasi-representation were introduced by Connes, Moscovici and Gromov [4] as tools for proving the Novikov conjecture for large classes of groups. The first example of a topologically nontrivial quasi-representation is due to Voiculescu for $G = \mathbb{Z}^2$, [27]. In this paper we use known results on the Novikov and the Baum–Connes conjectures to derive the existence of topologically nontrivial quasi-representations of certain discrete groups G , as well as the existence of nontrivial almost flat bundles on the classifying space BG , by employing the concept of quasidiagonality.

A discrete completely positive asymptotic representation of a C^* -algebra A consists of a sequence $\{\pi_n: A \rightarrow M_{k(n)}(\mathbb{C})\}_n$ of unital completely positive maps such that $\lim_{n \rightarrow \infty} \|\pi_n(aa') - \pi_n(a)\pi_n(a')\| = 0$ for all $a, a' \in A$. The sequence $\{\pi_n\}_n$ induces a unital $*$ -homomorphism

$$A \rightarrow \prod_n M_{k(n)}(\mathbb{C}) / \sum_n M_{k(n)}(\mathbb{C})$$

and hence a group homomorphism $K_0(A) \rightarrow \prod_n \mathbb{Z} / \sum_n \mathbb{Z}$. This gives a canonical way to push forward an element $x \in K_0(A)$ to a sequence of integers $(\pi_{n\#}(x))$, which is well-defined up to tail equivalence; two sequences are tail equivalent, $(y_n) \equiv (z_n)$, if there is m such that $x_n = y_n$ for all $n \geq m$.

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In the first part of the paper we study the existence of discrete asymptotic representations of group C^* -algebras that interpolate on K -theory a given group homomorphism $h: K_0(C^*(G)) \rightarrow \mathbb{Z}$. We rely heavily on results of Kasparov, Higson, Yu, Skandalis and Tu [15], [12], [29], [24], [16], [26]. For illustration, we have the following:

Theorem 1.1. *Let G be a countable, discrete, torsion-free group with the Haagerup property. Suppose that $C^*(G)$ is residually finite dimensional. Then, for any group homomorphism $h: K_0(C^*(G)) \rightarrow \mathbb{Z}$, there is a discrete completely positive asymptotic representation $\{\pi_n: C^*(G) \rightarrow M_{k(n)}(\mathbb{C})\}_n$ such that $\pi_{n\#}(x) \equiv h(x)$ for all $x \in K_0(I(G))$.*

Here $I(G)$ is the kernel of the trivial representation $\iota: C^*(G) \rightarrow \mathbb{C}$. By contrast, any finite dimensional unitary representation of G induces the zero map on $K_0(I(G))$. The groups with the Haagerup property are characterized by the requirement that there exists a sequence of normalized continuous positive-definite functions which vanish at infinity on G and converge to 1 uniformly on finite subsets of G . The conclusion of Theorem 1.1 also holds if G is an increasing union of residually finite amenable groups, see Theorem 3.4. The class of groups considered in Theorem 1.1 contains all countable, torsion-free, amenable, residually finite groups (also the maximally periodic groups) and the surface groups [17]. Moreover, this class is closed under free products (see [10], [3]). If we impose a weaker condition, namely that $C^*(G)$ is quasidiagonal, then in general we need two asymptotic representations in order to interpolate h , see Theorem 3.3. Theorem 1.1 remains true if we replace the assumption that G has the Haagerup property by the requirements that G is uniformly embeddable in a Hilbert space and that the assembly map $\mu: \text{RK}_0(BG) \rightarrow K_0(C^*(G))$ is surjective. Let us recall that Hilbert space uniform embeddability of G implies that μ is split injective, as proven by Yu [29] if the classifying space BG is finite and by Skandalis, Yu and Tu [24] in the general case. We will also use a strengthening of this result by Tu [26] who showed that G has a gamma element. In conjunction with a theorem of Kasparov [15] this guarantees the surjectivity of the dual assembly map $\nu: K^0(C^*(G)) \rightarrow \text{RK}^0(BG)$ for countable, discrete, torsion-free groups which are uniformly embeddable in a Hilbert space.

The notion of almost flat K -theory class was introduced in [4] as a tool for proving the Novikov conjecture. In the second part of the paper we pursue a reverse direction. Namely, we use known results on the Baum–Connes and the Novikov conjectures to derive the existence of almost flat K -theory classes by employing the concept of quasidiagonality.

Theorem 1.2. *Let G be a countable, discrete, torsion-free group which is uniformly embeddable in a Hilbert space. Suppose that the classifying space BG is a finite simplicial complex and that the full group C^* -algebra $C^*(G)$ is quasidiagonal. Then all the elements of $K^0(BG)$ are almost flat.*

The class of groups considered in Theorem 1.2 is closed under free products, by [1] and [2]. If G can be written as a union of amenable residually finite groups (as is the case if G is a linear amenable group), then $C^*(G)$ is quasidiagonal. It is an outstanding open question if all discrete amenable groups have quasidiagonal C^* -algebras [28].

Voiculescu has asked in [28] if there are invariants of a topological nature which can be used to describe the obstruction that a C^* -algebra be quasidiagonal. One can view Theorem 1.2 as further evidence towards a topological nature of quasidiagonality, since it shows that the existence of non-almost flat classes in $K^0(BG)$ represents an obstruction for the quasidiagonality of $C^*(G)$.

The fundamental connection between deformations of C^* -algebras and K-theory was discovered by Connes and Higson [5]. They introduced the concept of asymptotic homomorphism of C^* -algebras which formalizes the intuitive idea of deformations of C^* -algebras. An asymptotic homomorphism is a family of maps $\varphi_t: A \rightarrow B$, $t \in [0, \infty)$, such that for each $a \in A$ the map $t \rightarrow \varphi_t(a)$ is continuous and bounded and the family $(\varphi_t)_{t \in [0, \infty)}$ satisfies asymptotically the axioms of $*$ -homomorphisms. There is a natural notion of homotopy for asymptotic homomorphisms. E-theory is defined as homotopy classes of asymptotic homomorphisms from the suspension of A to the stable suspension of B , $E(A, B) = [[C_0(\mathbb{R}) \otimes A, C_0(\mathbb{R}) \otimes B \otimes \mathcal{K}]]$. The introduction of the suspension and of the compact operators \mathcal{K} yields an abelian group structure on $E(A, B)$. Connes and Higson showed that E-theory defines the universal half-exact C^* -stable homotopy functor on separable C^* -algebras. In particular the KK-theory of Kasparov factors through E-theory. A similar construction based on completely positive asymptotic homomorphisms gives a realization of KK-theory itself as shown by Larsen and Thomsen [13].

While E-theory gives in general maps of suspensions of C^* -algebras it is often desirable to have interesting deformations of unsuspended C^* -algebras. In joint work with Loring [8], [6], we proved a suspension theorem for commutative C^* -algebras $A = C_0(X \setminus x_0)$, where X is a compact connected space and $x_0 \in X$ is a base point. Specifically, we showed that the reduced K-homology group $\tilde{K}_0(X) = K_0(X, x_0)$ is isomorphic to the homotopy classes of asymptotic homomorphisms $[[C_0(X \setminus x_0), \mathcal{K}]]$. One can replace the compact operators \mathcal{K} by $\bigcup_{n=1}^{\infty} M_n(\mathbb{C})$ and conclude that the reduced K-homology of X classifies the deformations of $C_0(X)$ into matrices. The case of $X = \mathbb{T}^2$ played an important role in the history of the subject. Indeed, Voiculescu [27] exhibited pairs of almost commuting unitaries $u, v \in U(n)$ whose properties reflect the non-triviality of $H^2(\mathbb{T}^2, \mathbb{Z})$. One can view such a pair as associated to a quasi-representation of $C^*(\mathbb{Z}^2) \cong C(\mathbb{T}^2)$. If the commutator $\|uv - vu\|$ is sufficiently small, then there is an induced pushforward of the Bott class that represents the obstruction for perturbing u, v to a pair of commuting unitaries, [27], [9]. It is therefore quite natural to investigate deformations of C^* -algebras associated to non-commutative groups. In view of Theorem 1.1 we propose the following:

Conjecture. *If G is a discrete, countable, torsion-free, amenable group, then the natural map $[[I(G), \mathcal{K}]] \rightarrow \text{KK}(I(G), \mathcal{K}) \cong K^0(I(G))$ is an isomorphism of groups.*

This is verified if G is commutative. Indeed, $I(G) \cong C_0(\widehat{G} \setminus x_0)$ and \widehat{G} is connected since G is torsion-free, so that we can apply the suspension result of [6].

Manuilov, Mishchenko and their co-authors have studied various aspects and applications of quasi-representations and asymptotic representations of discrete groups. The paper [18] is a very interesting survey of their contributions. The notion of quasi-representation of a group is used in the literature in several non-equivalent contexts, to mean several different things, see [22].

2. Quasi-representations and K-theory

Definition 2.1. Let A and B be unital C^* -algebras. Let $F \subset A$ be a finite set and let $\varepsilon > 0$. A unital completely positive map $\varphi: A \rightarrow B$ is called an (F, ε) -homomorphism if $\|\varphi(aa') - \varphi(a)\varphi(a')\| < \varepsilon$ for all $a, a' \in F$. If B is the C^* -algebra of bounded linear operators on a Hilbert space, then we say that φ is an (F, ε) -representation of A . We will use the term *quasi-representation* to refer to an (F, ε) -representation where F and ε are not necessarily specified.

An important method for turning K-theoretical invariants of A into numerical invariants is to use quasi-representations to pushforward projections in matrices over A to scalar projections. Consider a finite set of projections $\mathcal{P} \subset M_m(A)$. We say that $(\mathcal{P}, F, \varepsilon)$ is a K_0 -triple if for any (F, ε) -homomorphism $\varphi: A \rightarrow B$ and $p \in \mathcal{P}$, the element $b = (\text{id}_m \otimes \varphi)(p)$ satisfies $\|b^2 - b\| < 1/4$ and hence the spectrum $\text{sp}(b)$ of b is contained in $[0, 1/2) \cup (1/2, 1]$. We denote by q the projection $\chi(b)$, where χ is the characteristic function of the interval $(1/2, 1]$. It is not hard to show that for any finite set of projections \mathcal{P} there exist a finite set $F \subset A$ and $\varepsilon > 0$ such that $(\mathcal{P}, F, \varepsilon)$ is a K_0 -triple. If $(\mathcal{P}, F, \varepsilon)$ is a K_0 -triple, then any (F, ε) -homomorphism $\varphi: A \rightarrow B$ induces a map $\varphi_{\#}: \mathcal{P} \rightarrow K_0(B)$ defined by $\varphi_{\#}(p) = [q]$. Let $\text{Proj}(A)$ denote the set of all projections in matrices over A . It is convenient to extend $\varphi_{\#}$ to $\text{Proj}(A)$ by setting $\varphi_{\#}(p) = 0$ if $b = (\text{id}_m \otimes \varphi)(p)$ does not satisfy $\|b^2 - b\| < 1/4$. If φ were a $*$ -homomorphism, then φ would induce a map $\varphi_*: K_0(A) \rightarrow K_0(B)$. Intuitively, one may think of $\varphi_{\#}$ as a substitute for φ_* .

Two sequences (a_n) and (b_n) are called *tail-equivalent* if there is n_0 such that $a_n = b_n$ for $n \geq n_0$. Tail-equivalence is denoted by $(a_n) \equiv (b_n)$ or even $a_n \equiv b_n$, abusing the notation.

We will also work with discrete completely positive asymptotic morphisms $(\varphi_n)_n$. They consists of a sequence of contractive completely positive maps $\varphi_n: A \rightarrow B_n$ with $\lim_{n \rightarrow \infty} \|\varphi_n(aa') - \varphi_n(a)\varphi_n(a')\| = 0$ for all $a, a' \in A$. If in addition each B_n is a matricial algebra $B_n = M_{k(n)}(\mathbb{C})$, then we call $(\varphi_n)_n$ a *discrete asymptotic representation* of A . A discrete completely positive asymptotic morphism $(\varphi_n)_n$

induces a sequence of maps $\varphi_{n\#} : \text{Proj}(A) \rightarrow K_0(B_n)$. Note that if $p, q \in \text{Proj}(A)$ have the same class in $K_0(A)$, then $\varphi_{n\#}(p) \equiv \varphi_{n\#}(q)$.

For any $x \in K_0(A)$, we fix projections $p, q \in \text{Proj}(A)$ such that $x = [p] - [q]$ and set $\varphi_{n\#}(x) = \varphi_{n\#}(p) - \varphi_{n\#}(q) \in K_0(B_n)$. The sequence $(\varphi_{n\#}(x))$ depends on the particular projections that we use to represent x but only up to tail-equivalence. While in general the maps $\varphi_{n\#} : K_0(A) \rightarrow K_0(B_n)$ are not group homomorphisms, the sequence $(\varphi_{n\#}(x))$ does satisfy $(\varphi_{n\#}(x + y)) \equiv (\varphi_{n\#}(x) + \varphi_{n\#}(y))$ for all $x, y \in K_0(A)$.

A subset $B \subset L(H)$ is called *quasidiagonal* if there is an increasing sequence (p_n) of finite rank projections in $L(H)$ which converges strongly to 1_H and such that $\lim_{n \rightarrow \infty} \|[b, p_n]\| = 0$ for all $b \in B$. B is *block-diagonal* if there is a sequence (p_n) as above such that $[b, p_n] = 0$ for all $b \in B$ and $n \geq 1$. Let A be a separable C^* -algebra. Let us recall that the elements of $\text{KK}(A, \mathbb{C})$ can be represented by Cuntz pairs, i.e., by pair of $*$ -representations $\varphi, \psi : A \rightarrow L(H)$ such that $\varphi(a) - \psi(a) \in K(H)$ for all $a \in A$.

Definition 2.2. Let A be a separable C^* -algebra. An element $\alpha \in \text{KK}(A, \mathbb{C})$ is called *quasidiagonal* if it can be represented by a Cuntz pair $(\varphi, \psi) : A \rightarrow L(H)$ with the property that the set $\psi(A) \subset L(H)$ is quasidiagonal. In this case let us note that the set $\varphi(A) \subset L(H)$ must be also quasidiagonal. Similarly, we say that α is *residually finite dimensional* if it can be represented by a Cuntz pair with the property that the set $\psi(A)$ is block-diagonal. We denote by $\text{KK}_{\text{qd}}(A, \mathbb{C})$ the subset of $\text{KK}(A, \mathbb{C})$ consisting of quasidiagonal classes and by $\text{KK}_{\text{rfd}}(A, \mathbb{C})$ the subset of $\text{KK}(A, \mathbb{C})$ consisting of residually finite dimensional classes. It is clear that $\text{KK}_{\text{rfd}}(A, \mathbb{C}) \subset \text{KK}_{\text{qd}}(A, \mathbb{C})$, that $\text{KK}_{\text{qd}}(A, \mathbb{C})$ is a subgroup of $\text{KK}(A, \mathbb{C})$ and that $\text{KK}_{\text{rfd}}(A, \mathbb{C})$ is a subsemigroup.

We say that A is K -quasidiagonal if $\text{KK}_{\text{qd}}(A, \mathbb{C}) = \text{KK}(A, \mathbb{C})$ and that A is K -residually finite dimensional if $\text{KK}_{\text{rfd}}(A, \mathbb{C}) = \text{KK}(A, \mathbb{C})$.

Remark 2.3. Let A be a separable C^* -algebra. It was pointed out by Skandalis [23] that for any given faithful $*$ -representation $\pi : A \rightarrow L(H)$ such that $\pi(A) \cap K(H) = \{0\}$, one can represent all the elements of $\text{KK}(A, \mathbb{C})$ by Cuntz pairs where the second map is fixed and equal to π . It follows that a separable quasidiagonal C^* -algebra is K -quasidiagonal and a separable residually finite dimensional C^* -algebra is K -residually finite dimensional. More generally, if A is homotopically dominated by B and B is K -quasidiagonal or K -residually finite dimensional then so is A . Let us note that the Cuntz algebra O_2 is K -residually finite dimensional while it is not quasidiagonal.

The following lemma and proposition are borrowed from [7]. For the sake of completeness, we review briefly some of the arguments from their proofs. Let B be a unital C^* -algebra and let E be a right Hilbert B -module. If $e, f \in L_B(E)$ are projections such that $e - f \in K_B(E)$, we denote by $[e, f]$ the corresponding element of $\text{KK}(\mathbb{C}, B) \cong K_0(B)$.

Lemma 2.4. *Let B be a unital C^* -algebra and let E be a right Hilbert B -module. Let $e, f \in L_B(E)$ and $h \in K_B(E)$ be projections such that $e - f \in K_B(E)$ and $\|eh - he\| \leq 1/9, \|fh - hf\| \leq 1/9, \|(1 - h)(e - f)(1 - h)\| \leq 1/9$. Then*

$$\text{sp}(heh) \cup \text{sp}(hfh) \subset [0, 1/2) \cup (1/2, 1],$$

$$[e, f] = [\chi(heh), \chi(hfh)] \in \text{KK}(\mathbb{C}, B) \cong K_0(B).$$

Proof. One shows that if $e', f' \in L_B(E)$ are projections such that $e' - f' \in K_B(E)$ and $\|e - e'\| < 1/2, \|f - f'\| < 1/2$, then $[e, f] = [e', f']$. This is proved using the homotopy $(\chi(e_t), \chi(f_t))$ where $e_t = (1 - t)e + te', f_t = (1 - t)f + tf', 0 \leq t \leq 1$. Then one applies this observation to conclude that

$$[e, f] = [\chi(x) + \chi(x'), \chi(y) + \chi(y')] = [\chi(x) + \chi(x'), \chi(y) + \chi(y')] = [\chi(x), \chi(y)],$$

where $x = heh, x' = (1 - h)e(1 - h), y = hfh, y' = (1 - h)f(1 - h)$. □

Let A, B be separable C^* -algebras. An element $\alpha \in \text{KK}(A, \mathbb{C})$ induces a group homomorphism $\alpha_*: K_0(A \otimes B) \rightarrow K_0(B)$ via the cup product

$$\text{KK}(\mathbb{C}, A \otimes B) \times \text{KK}(A, \mathbb{C}) \rightarrow \text{KK}(\mathbb{C}, B), \quad (x, \alpha) \mapsto x \circ (\alpha \otimes 1_B).$$

Here we work with the maximal tensor product.

Proposition 2.5. *Let A be a separable unital C^* -algebra and $\alpha \in \text{KK}_{\text{qd}}(A, \mathbb{C})$. There exist two discrete asymptotic representations $(\varphi_n)_n$ and $(\psi_n)_n$ consisting of unital completely positive maps $\varphi_n: A \rightarrow M_{k(n)}(\mathbb{C})$ and $\psi_n: A \rightarrow M_{r(n)}(\mathbb{C})$ such that for any separable unital C^* -algebra B , the map $\alpha_*: K_0(A \otimes B) \rightarrow K_0(B)$ has the property that*

$$\alpha_*(x) \equiv (\varphi_n \otimes \text{id}_B)_\#(x) - (\psi_n \otimes \text{id}_B)_\#(x)$$

for all $x \in K_0(A \otimes B)$. If $\alpha \in \text{KK}_{\text{rfd}}(A, \mathbb{C})$, then all ψ_n can be chosen to be $*$ -representations.

Proof. Represent α by a Cuntz pair $\varphi, \psi: A \rightarrow L(H)$ with $\varphi(a) - \psi(a) \in K(H)$, for all $a \in A$, and such that the set $\psi(A)$ is quasidiagonal. Therefore there is an increasing approximate unit $(p_n)_n$ of $K(H)$ consisting of projections such that $(p_n)_n$ commutes asymptotically with both $\varphi(A)$ and $\psi(A)$. Let us define contractive completely positive maps $\varphi_n, \psi_n: A \rightarrow L(p_n H)$ by $\varphi_n(a) := p_n \varphi(a) p_n$ and $\psi_n(a) := p_n \psi(a) p_n$. Without any loss of generality we may assume that x is the class of a projection $e \in A \otimes B$. It follows from the definition of the Kasparov product that

$$\alpha_*(x) = [(\varphi \otimes \text{id}_B)(e), (\psi \otimes \text{id}_B)(e)] \in \text{KK}(\mathbb{C}, B).$$

On the other hand, the sequence of projections $p_n \otimes 1_B \in K(H) \otimes B$ commutes asymptotically with both projections $(\varphi \otimes \text{id}_B)(e)$ and $(\psi \otimes \text{id}_B)(e)$ and moreover

$$\lim_{n \rightarrow \infty} \|p_n \otimes 1_B ((\varphi \otimes \text{id}_B)(e) - (\psi \otimes \text{id}_B)(e)) p_n \otimes 1_B\| = 0,$$

since the sequence $(p_n \otimes 1_B)_n$ forms an approximative unit of $K(H) \otimes B$. Now it follows from Lemma 2.4 that

$$[(\varphi \otimes \text{id}_B)(e), (\psi \otimes \text{id}_B)(e)] = (\varphi_n \otimes \text{id}_B)_\#(e) - (\psi_n \otimes \text{id}_B)_\#(e)$$

for all sufficiently large n . It is standard to perturb φ_n and ψ_n to completely positive maps such that $\varphi_n(1)$ and $\psi_n(1)$ are projections. Finally, let us note that ψ_n is a $*$ -homomorphism if p_n commutes with ψ . □

3. Asymptotic representations of group C^* -algebras

We use the following notation for the Kasparov product:

$$\text{KK}(A, B) \times \text{KK}(B, C) \rightarrow \text{KK}(A, C), \quad (y, x) \mapsto y \circ x.$$

In the case of the pairing $K_i(B) \times K^i(B) \rightarrow \mathbb{Z}$ we will also write $\langle y, x \rangle$ for $y \circ x$. We are mostly interested in the map

$$K^i(C^*(G)) \rightarrow \text{Hom}(K_i(C^*(G)), \mathbb{Z}), \tag{1}$$

induced by the pairing above for $B = C^*(G)$. If G has the Haagerup property, then it was shown in [25] that $C^*(G)$ is KK -equivalent with a commutative C^* -algebra and hence the map (1) is surjective. Assuming that G is a countable, discrete, torsion-free group that is uniformly embeddable in a Hilbert space, we are going to verify that the map (1) is split surjective whenever the assembly map $\mu: \text{RK}_i(BG) \rightarrow K_i(C^*(G))$ is surjective.

Following Kasparov [15], for a locally compact, σ -compact, Hausdorff space X and $C_0(X)$ -algebras A and B we consider the representable K -homology groups $\text{RK}_i(X)$, the representable K -theory groups $\text{RK}^i(X)$ and the bivariant theory $\mathcal{R}\text{KK}_i(X; A, B)$. If Y is compact, then $\text{RK}_i(Y) = \text{KK}_i(C(Y), \mathbb{C})$ and $\text{RK}^i(Y) = \text{KK}_i(\mathbb{C}, C(Y))$. Suppose now that X is locally compact, σ -compact and Hausdorff. Then

$$\text{RK}_i(X) \cong \varinjlim_{Y \subset X} \text{RK}_i(Y) = \varinjlim_{Y \subset X} \text{KK}_i(C(Y), \mathbb{C}),$$

where Y runs over the compact subsets of X . Kasparov [15], Prop. 2.20, has shown that

$$\text{RK}^i(X) \cong \mathcal{R}\text{KK}_i(X; C_0(X), C_0(X)).$$

Moreover, if $Y \subset X$ is a compact set, then the restriction map $\mathrm{RK}^i(X) \rightarrow \mathrm{RK}^i(Y)$ corresponds to the map

$$\mathcal{RKK}_i(X; C_0(X), C_0(X)) \rightarrow \mathcal{RKK}_i(Y; C(Y), C(Y)) \cong \mathrm{KK}_i(\mathbb{C}, C(Y)).$$

It is useful to introduce the group

$$LK^i(X) = \varprojlim_{Y \subset X} \mathrm{RK}^i(Y),$$

where Y runs over the compact subsets of X . If X is written as the union of an increasing sequence $(Y_n)_n$ of compact subspaces, then, as explained in the proof of Lemma 3.4 from [16], there is a Milnor \varprojlim^1 exact sequence:

$$0 \rightarrow \varprojlim^1 \mathrm{RK}^{i+1}(Y_n) \rightarrow \mathrm{RK}^i(X) \rightarrow \varprojlim \mathrm{RK}^i(Y_n) \rightarrow 0.$$

The morphism $\mathrm{RK}^i(X) \rightarrow \mathrm{Hom}(\mathrm{RK}_i(X), \mathbb{Z})$ induced by the pairing $\mathrm{RK}_i(X) \times \mathrm{RK}^i(X) \rightarrow \mathbb{Z}$ factors through the morphism

$$\begin{aligned} \varprojlim \mathrm{RK}^i(Y_n) = LK^i(X) &\rightarrow \mathrm{Hom}(\mathrm{RK}_i(X), \mathbb{Z}) = \mathrm{Hom}(\varinjlim \mathrm{RK}_i(Y_n), \mathbb{Z}) \\ &\cong \varprojlim \mathrm{Hom}(\mathrm{RK}_i(Y_n), \mathbb{Z}) \end{aligned}$$

given by the projective limit of the morphisms $\mathrm{RK}^i(Y_n) \rightarrow \mathrm{Hom}(\mathrm{RK}_i(Y_n), \mathbb{Z})$.

If X is a locally finite separable CW-complex, then there is a Universal Coefficient Theorem [16], Lemma 3.4:

$$0 \rightarrow \mathrm{Ext}(\mathrm{RK}_{i+1}(X), \mathbb{Z}) \rightarrow \mathrm{RK}^i(X) \rightarrow \mathrm{Hom}(\mathrm{RK}_i(X), \mathbb{Z}) \rightarrow 0. \quad (2)$$

In particular, it follows that the map $LK^i(X) \rightarrow \mathrm{Hom}(\mathrm{RK}_i(X), \mathbb{Z})$ is surjective.

Let us recall the construction of the assembly map $\mu: \mathrm{RK}_i(BG) \rightarrow K_i(C^*(G))$ and of the dual map $\nu: K^i(C^*(G)) \rightarrow \mathrm{RK}^i(BG)$ as given in [15]. Kasparov considers a natural element

$$\beta_G \in \mathcal{RKK}(BG; C_0(BG), C_0(BG) \otimes C^*(G))$$

(which we denote here by ℓ as it corresponds to Mischenko's "line bundle" on BG). If G is a discrete countable group then it is known [15], §6, that EG and BG can be realized as locally finite separable CW-complexes. Write BG as the union of an increasing sequence $(Y_n)_n$ of finite CW-subcomplexes. Let ℓ_n be the image of ℓ in

$$\mathcal{RKK}(Y_n; C(Y_n), C(Y_n) \otimes C^*(G)) \cong \mathrm{KK}(\mathbb{C}, C(Y_n) \otimes C^*(G))$$

under the restriction map induced by the inclusion $Y_n \subset BG$.

The map $\mu_n: \mathrm{RK}_i(Y_n) \rightarrow K_i(C^*(G))$ is defined as the cap product by ℓ_n :

$$\begin{aligned} \mathrm{KK}(\mathbb{C}, C(Y_n) \otimes C^*(G)) \times \mathrm{KK}_i(C(Y_n), \mathbb{C}) &\rightarrow \mathrm{KK}_i(\mathbb{C}, C^*(G)), \\ (\ell_n, z) &\mapsto \mu_n(z) = \ell_n \circ (z \otimes 1). \end{aligned}$$

The assembly map $\mu: \text{RK}_i(\text{BG}) \rightarrow K_i(C^*(G))$ is the inductive limit homomorphism $\mu := \varinjlim \mu_n$. The homomorphism $\nu: K^i(C^*(G)) \rightarrow \text{RK}^i(\text{BG})$ is defined as the cap product by ℓ :

$$\begin{aligned} & \mathcal{R}\text{KK}(\text{BG}; C_0(\text{BG}), C_0(\text{BG}) \otimes C^*(G)) \times \text{KK}_i(C^*(G), \mathbb{C}) \\ & \longrightarrow \mathcal{R}\text{KK}_i(\text{BG}; C_0(\text{BG}), C_0(\text{BG})), \\ & (\ell, x) \mapsto \nu(x) = \ell \circ (1 \otimes x). \end{aligned}$$

Let $\nu_n: K^i(C^*(G)) \rightarrow \text{RK}^i(Y_n)$ be obtained by composing ν with the restriction map $\text{RK}^i(\text{BG}) \rightarrow \text{RK}^i(Y_n)$. Noting that ν_n is also given by the cap product by ℓ_n , Kasparov has shown that

$$\nu_n(x) \circ z = \mu_n(z) \circ x$$

for all $x \in K^i(C^*(G))$ and $z \in \text{RK}_i(Y_n)$, [15], Lemma 6.2. The assembly map induces a homomorphism $\mu^*: \text{Hom}(K_i(C^*(G)), \mathbb{Z}) \rightarrow \text{Hom}(\text{RK}_i(\text{BG}), \mathbb{Z})$. Since

$$\text{Hom}(\text{RK}_i(\text{BG}), \mathbb{Z}) \cong \text{Hom}(\varinjlim \text{RK}_i(Y_n), \mathbb{Z}) \cong \varprojlim \text{Hom}(\text{RK}_i(Y_n), \mathbb{Z})$$

and since the equalities $\nu_n(x) \circ z = x \circ \mu_n(z)$ are compatible with the maps induced by the inclusions $Y_n \subset Y_{n+1}$, we obtain that the following diagram is commutative:

$$\begin{array}{ccc} K^i(C^*(G)) & \longrightarrow & \text{Hom}(K_i(C^*(G)), \mathbb{Z}) \\ \nu \downarrow & & \downarrow \mu^* \\ \text{RK}^i(\text{BG}) & \longrightarrow & \text{Hom}(\text{RK}_i(\text{BG}), \mathbb{Z}), \end{array}$$

where the horizontal arrows correspond to natural pairings of K-theory with K-homology. The map $\text{RK}^i(\text{BG}) \rightarrow \text{Hom}(\text{RK}_i(\text{BG}), \mathbb{Z})$ is surjective by (2).

In view of the previous discussion, by combining results of Kasparov [15] and Tu [26], one derives the following.

Theorem 3.1. *Let G be a countable, discrete, torsion-free group. Suppose that G is uniformly embeddable in a Hilbert space. Then for any group homomorphism $h: K_i(C^*(G)) \rightarrow \mathbb{Z}$ there is $x \in K^i(C^*(G))$ such that $h(\mu(z)) = \langle \mu(z), x \rangle$ for all $z \in \text{RK}_i(\text{BG})$.*

Proof. For a discrete group G which admits a uniform embedding into a Hilbert space it was shown in [26], Thm. 3.3, that G has a γ -element. Since G is torsion-free, we can take $\underline{\text{B}}G = \text{BG}$. If G has a γ -element, it follows by Theorem 6.5 and Lemma. 6.2 of [15] that the dual map $\nu: \text{KK}_i(C^*(G), \mathbb{C}) \rightarrow \text{RK}^i(\text{BG})$ is split surjective. Therefore, in the diagram above, the composite map $K^i(C^*(G)) \rightarrow \text{Hom}(\text{RK}_i(\text{BG}), \mathbb{Z})$, $x \mapsto \langle \nu(x), \cdot \rangle$ is surjective. This shows that if $h: K_i(C^*(G)) \rightarrow \mathbb{Z}$ is a group homomorphism, then $\mu^*(h) = h \circ \mu = \langle \nu(x), \cdot \rangle$ for some $x \in K^i(C^*(G))$. Since the diagram above is commutative, we obtain that $h \circ \mu = \langle \nu(x), \cdot \rangle = \langle \mu(\cdot), x \rangle$. \square

The following proposition is more or less known; for example, it is implicitly contained in [11]. Let ι be the trivial representation of G , $\iota(s) = 1$ for all $s \in G$.

Proposition 3.2. *Let $\mu: \text{RK}_0(\text{BG}) \rightarrow K_0(C^*(G))$ be the assembly map. Then $\pi_* \circ \mu = m \cdot \iota_* \circ \mu$ for any unital finite dimensional representation $\pi: C^*(G) \rightarrow M_m(\mathbb{C})$.*

Proof. Write BG as the union of an increasing sequence $(Y_n)_n$ of finite CW-sub-complexes. Let $z \in \text{RK}_0(Y_n)$ for some $n \geq 1$ and let $x = [\pi] \in K^0(C^*(G))$. The equality $\nu_n(x) \circ z = \mu_n(z) \circ x$ becomes $\langle \nu_n(x), z \rangle = \pi_*(\mu_n(z))$. The Chern character makes the following commutative:

$$\begin{array}{ccc} \text{RK}^0(Y_n) \times \text{RK}_0(Y_n) & \longrightarrow & \mathbb{Z} \\ \text{ch}^* \times \text{ch}_* \downarrow & & \downarrow \\ H^{\text{even}}(Y_n, \mathbb{Q}) \times H_{\text{even}}(Y_n, \mathbb{Q}) & \longrightarrow & \mathbb{Q}. \end{array}$$

Thus $\langle \text{ch}^*(\nu_n(x)), \text{ch}_*(z) \rangle = \pi_*(\mu_n(z))$. Since x is the class of a unital finite dimensional representation $\pi: C^*(G) \rightarrow M_n(\mathbb{C})$, it follows that $\nu_n(x)$ is simply the class of the flat complex vector bundle $[V] = \pi_*(\ell_n)$ over Y_n . On the other hand, if V is a flat vector bundle, then $\text{ch}^*(V) = \text{rank}(V) = m = \dim(\pi)$ by [14]. Therefore, for any unital m -dimensional representation π , $\pi_*(\mu_n(z)) = m \cdot \langle 1, \text{ch}_*(z) \rangle$. By applying the same formula for the trivial representation $\iota: C^*(G) \rightarrow \mathbb{C}$, we get $\iota_*(\mu_n(z)) = \langle 1, \text{ch}_*(z) \rangle$. It follows that $\pi_*(\mu_n(z)) = m \cdot \iota_*(\mu_n(z))$. \square

Recall that we denote by $I(G)$ the kernel of the trivial representation $\iota: C^*(G) \rightarrow \mathbb{C}$. Since the extension $0 \rightarrow I(G) \rightarrow C^*(G) \rightarrow \mathbb{C} \rightarrow 0$ is split, $K_0(C^*(G)) \cong K_0(I(G)) \oplus \mathbb{Z}$.

Theorem 3.3. *Let G be a countable, discrete, torsion-free group that is uniformly embeddable in a Hilbert space. Let $h: K_0(C^*(G)) \rightarrow \mathbb{Z}$ be a group homomorphism.*

(i) *If $C^*(G)$ is K -quasidiagonal, then there exist two discrete completely positive asymptotic representations $\{\pi_n: C^*(G) \rightarrow M_{k(n)}(\mathbb{C})\}_n$ and $\{\gamma_n: C^*(G) \rightarrow M_{r(n)}(\mathbb{C})\}_n$ such that $\pi_{n\#}(x) - \gamma_{n\#}(x) \equiv h(x)$ for all $x \in \mu(\text{RK}_0(\text{BG}))$.*

(ii) *If $C^*(G)$ is K -residually finite dimensional, then there is a discrete completely positive asymptotic representation $\{\pi_n: C^*(G) \rightarrow M_{k(n)}(\mathbb{C})\}_n$ such that $\pi_{n\#}(x) \equiv h(x)$ for all $x \in K_0(I(G)) \cap \mu(K_0(\text{BG}))$.*

Proof. Part (i) follows from Theorem 3.1 and Proposition 2.5 for $A = C^*(G)$ and $B = \mathbb{C}$. For part (ii) we observe that if γ_n is a $*$ -representation, then $\gamma_* = 0$ on $K_0(I(G))$ by Proposition 3.2. \square

Theorem 3.4. *Let G be a countable, discrete, torsion-free group. Suppose that G satisfies either one of the conditions (a) or (b) below.*

- (a) G has the Haagerup property and $C^*(G)$ is K -residually finite dimensional.
- (b) G is an increasing union of residually finite, amenable groups.

Then for any group homomorphism $h: K_0(C^*(G)) \rightarrow \mathbb{Z}$ there is a discrete completely positive asymptotic representation $\{\pi_n: C^*(G) \rightarrow M_{k(n)}(\mathbb{C})\}_n$ such that $\pi_{n\#}(x) \equiv h(x)$ for all $x \in K_0(I(G))$.

Proof. Recall that the assembly map is an isomorphism for groups with the Haagerup property by a result of Higson and Kasparov [12], and that these groups are also embeddable in a Hilbert space. Thus, if G satisfies (a), then the conclusion follows from Theorem 3.3(ii). Suppose now that G satisfies (b). Thus $G = \bigcup_i G_i$ where G_i are residually finite, amenable groups and $G_i \subset G_{i+1}$. Then $C^*(G) = \overline{\bigcup_i C^*(G_i)}$ and $K_0(C^*(G)) \cong \varinjlim K_0(C^*(G_i))$. Similarly, $I(G) = \overline{\bigcup_i I(G_i)}$ and $K_0(I(G)) = \varinjlim K_0(I(G_i))$. Let $\theta_i: K_0(C^*(G_i)) \rightarrow K_0(C^*(G))$ be the map induced by the inclusion $C^*(G_i) \subset C^*(G)$. Let h be given as in the statement of the theorem. By the first part of the theorem, for each i , there is a discrete completely positive asymptotic representation $(\pi_n^{(i)})_n$ of $C^*(G_i)$ such that $\pi_{n\#}^{(i)}(x) \equiv h(\theta_i(x))$ for all $x \in K_0(I(G_i))$. By Arveson’s extension theorem, each $\pi_n^{(i)}$ extends to a unital completely positive map $\bar{\pi}_n^{(i)}$ on $C^*(G)$. Since $C^*(G)$ is separable, $K_0(I(G))$ is countable and $K_0(I(G)) = \varinjlim K_0(I(G_i))$, it follows that there is a sequence of natural numbers $r(1) < r(2) < \dots$ such that $(\bar{\pi}_{r(i)}^{(i)})_i$ is a discrete completely positive asymptotic representation of $C^*(G)$ such that $\bar{\pi}_{r(i)\#}^{(i)}(x) \equiv h(x)$ for all $x \in K_0(I(G))$. □

4. Almost flat K -theory classes

In this section we use the dual assembly to derive the existence of almost flat K -theory classes on the classifying space BG if the group C^* -algebra of G is quasidiagonal. It is convenient to work with an adaptation of the notion of almost flatness to simplicial complexes, see [19].

Definition 4.1. Let Y be a compact Hausdorff space and let $(U_i)_{i \in I}$ be a fixed finite open cover of Y . A complex vector bundle $E \in \text{Vect}_m(Y)$ is called ε -flat if is represented by a cocycle $v_{ij}: U_i \cap U_j \rightarrow U(m)$ such that $\|v_{ij}(y) - v_{ij}(y')\| < \varepsilon$ for all $y, y' \in U_i \cap U_j$ and all $i, j \in I$. A K -theory class $\alpha \in K^0(Y)$ is called *almost flat* if for any $\varepsilon > 0$ there are ε -flat vector bundles E, F such that $\alpha = [E] - [F]$. This property does not depend on the cover $(U_i)_{i \in I}$.

Remark 4.2. The set of all almost flat elements of $K^0(Y)$ form a subring denoted by $K_{\text{af}}^0(Y)$. If $f: Z \rightarrow Y$ is a continuous map, then $f^*(K_{\text{af}}^0(Y)) \subset K_{\text{af}}^0(Z)$.

The following proposition gives a method for producing ε -flat vector bundles. Let Y be a finite simplicial complex with universal cover \tilde{Y} and fundamental group G . Consider the flat line bundle ℓ with fiber $C^*(G)$, $\tilde{Y} \times_G C^*(G) \rightarrow Y$, where $G \subset C^*(G)$ acts diagonally, and let P be the corresponding projection in $M_m(\mathbb{C}) \otimes C(Y) \otimes C^*(G)$. Consider a discrete asymptotic representation $\{\varphi_n : C^*(G) \rightarrow M_{k(n)}(\mathbb{C})\}_n$ and set $F_n = (\text{id}_m \otimes \text{id}_{C(Y)} \otimes \varphi_n)(P)$. Since $\|F_n^2 - F_n\| \rightarrow 0$ as $n \rightarrow \infty$, $E_n := \chi(F_n)$ is a projection in $M_{mk(n)}(C(Y))$ such that $\|E_n - F_n\| \rightarrow 0$ as $n \rightarrow \infty$.

Proposition 4.3. *For any $\varepsilon > 0$ there is $n_0 > 0$ such that for any $n \geq n_0$ there is an ε -flat vector bundle on Y which is isomorphic to the vector bundle given by the idempotent E_n .*

Proof. We rely on a construction and results of Phillips and Stone from [20], [21], see also [18]. A simplicial complex is locally ordered by giving a partial ordering \mathbf{o} of its vertices in which the vertices of each simplex are totally ordered. The first barycentric subdivision of any simplicial complex has a natural local ordering [21], §1.4. Thus we may assume that Y is endowed with a fixed local ordering \mathbf{o} . Let Y have vertices $I = \{1, 2, \dots, m\}$. We denote by Y^k the set of k -simplices of Y . Given $r \geq 1$, a $U(r)$ -valued lattice gauge field \mathbf{u} on the simplicial complex Y is a function that assigns to each 1-simplex $\langle i, j \rangle$ of Y an element $u_{ij} \in U(r)$ subject to the condition that $u_{ji} = u_{ij}^{-1}$, see [21], Def. 3.2. Consider the cover of Y by dual cells $(V_i)_{i \in I}$ [21], A.1.

Phillips and Stone show that for a fixed locally ordered finite simplicial complex Y as above there is a function $h : [0, +\infty) \rightarrow [0, 1]$ with $\lim_{t \rightarrow \infty} h(t) = 0$ and which has the following property. Let \mathbf{u} be a $U(r)$ -valued lattice gauge field on Y for some $r \geq 1$. Suppose that

$$\|u_{ij}u_{jk} - u_{ik}\| \leq \delta \tag{3}$$

for all 2-simplices $\langle i, j, k \rangle$ (with vertices so \mathbf{o} -ordered). Then there is a cocycle $v_{ij} : V_i \cap V_j \rightarrow U(r)$, $\langle i, j \rangle \in Y^1$, such that

$$\sup_{x \in V_i \cap V_j} \|v_{ij}(x) - u_{ij}\| < h(\delta).$$

The functions $v_{ij}(x)$ are constructed by an iterative process, based on the skeleton of Y . At each stage of the construction one takes affine combinations of functions defined at a previous stage, starting with the constant matrices u_{ij} . It follows that for each $i \in I$ there exists a fixed small open tubular neighborhood U_i of V_i which is affinely homotopic to V_i , such that the cover $(U_i)_{i \in I}$ has the following property. For any $U(r)$ -valued lattice gauge field \mathbf{u} on Y that satisfies (3), there is a cocycle $v_{ij} : U_i \cap U_j \rightarrow U(r)$, $\langle i, j \rangle \in Y^1$, such that

$$\sup_{x \in U_i \cap U_j} \|v_{ij}(x) - u_{ij}\| < 2h(\delta).$$

We are going to use the asymptotic representation $(\varphi_n)_n$ as follows. Using trivializations of ℓ to U_i one obtains group elements $s_{ij} \in G$ for $\langle i, j \rangle \in Y^1$ giving a constant cocycle on $U_i \cap U_j$ that represents ℓ , so that $s_{ij}^{-1} = s_{ji}$ and $s_{ij} \cdot s_{jk} = s_{ik}$ whenever $\langle i, j, k \rangle \in Y^2$.

If $(\chi_i)_{i \in I}$ are positive continuous functions with χ_i supported in U_i and such that $\sum_{i \in I} \chi_i^2 = 1$, then ℓ is represented by an idempotent

$$P = \sum_{i,j \in I} e_{ij} \otimes \chi_i \chi_j \otimes s_{ij} \in M_m(\mathbb{C}) \otimes C(Y) \otimes C^*(G).$$

Here $m = |I|$ and (e_{ij}) is the canonical matrix unit of $M_m(\mathbb{C})$. It follows that for all n sufficiently large, $(\text{id}_m \otimes \text{id}_{C(Y)} \otimes \varphi_n)_\#(P)$ is given by the class of a projection E_n with $\|E_n - F_n\| < 1/2$, where $F_n = (\text{id}_m \otimes \text{id}_{C(Y)} \otimes \varphi_n)(P)$. We have

$$F_n = \sum_{i,j \in I} e_{ij} \otimes \chi_i \chi_j \otimes \varphi_n(s_{ij}) \in M_m(\mathbb{C}) \otimes C(Y) \otimes M_{k(n)}(\mathbb{C}).$$

For $v \in \text{GL}_k(\mathbb{C})$ we denote by $w(v)$ the unitary $v(v^*v)^{-1/2}$. Fix n sufficiently large so that $\varphi_n(s_{ij}) \in \text{GL}_{k(n)}(\mathbb{C})$. For each ordered edge $\langle i, j \rangle \in Y^1$ we set $u_{ij} = w(\varphi_n(s_{ij}))$ and $u_{ji} = u_{ij}^{-1}$. This will define a $U(k(n))$ -valued lattice gauge field on the ordered simplicial complex Y . Fix $\varepsilon > 0$ such that $4m^2\varepsilon < 1/2$ and choose $\delta > 0$ such that $h(\delta) < \varepsilon/2$. Since $(\varphi_n)_n$ is an asymptotic representation, there is $n_0 > 0$ such that if $n \geq n_0$, then

$$\|\varphi_n(s_{ij}) - u_{ij}\| < \varepsilon/2 \tag{4}$$

for all $\langle i, j \rangle \in Y^1$ and $\|u_{ij}u_{jk} - u_{ik}\| \leq \delta$ for all 2-simplices $\langle i, j, k \rangle$. By the result of Phillips and Stone quoted above, there exists a cocycle $v_{ij} : U_i \cap U_j \rightarrow U(k(n))$ such that

$$\|v_{ij}(x) - u_{ij}\| < h(\delta) < \varepsilon/2 \tag{5}$$

for all $x \in U_i \cap U_j$. It follows that $\|v_{ij}(x) - v_{ij}(x')\| < \varepsilon$ for all $x, x' \in U_i \cap U_j$ and all $i, j \in I$ and hence the idempotent

$$e_n(x) = \sum_{i,j \in I} e_{ij} \otimes \chi_i(x) \chi_j(x) v_{ij}(x), \quad x \in Y,$$

gives an ε -flat vector bundle on Y . From (4) and (5) we have

$$\|v_{ij}(x) - \varphi_n(s_{ij})\| < \varepsilon \tag{6}$$

for all $x \in U_i \cap U_j$ and $\langle i, j \rangle \in Y^1$. Using (6) we see that $\|e_n - F_n\| \leq 2m^2\varepsilon < 1/2$ and hence $\|e_n - E_n\| \leq \|e_n - F_n\| + \|E_n - F_n\| < 1$. It follows that $E_n = we_nw^{-1}$ for some invertible element w . This shows that the isomorphism class of the vector bundle given the idempotent E_n is represented by an ε -flat vector bundle since we have seen that e_n has that property. \square

Let Y be a finite simplicial complex with universal cover \tilde{Y} and fundamental group G and let ℓ be the corresponding flat line bundle with fiber $C^*(G)$. Recall that the Kasparov product $K_0(C(Y) \otimes C^*(G)) \times \text{KK}(C^*(G), \mathbb{C}) \rightarrow K^0(Y)$ induces a map $\nu: \text{KK}(C^*(G), \mathbb{C}) \rightarrow K^0(Y)$, $\nu(\alpha) = [\ell] \circ (\alpha \otimes 1)$.

Corollary 4.4. $\nu(\text{KK}_{\text{qd}}(C^*(G), \mathbb{C})) \subset K_{\text{af}}^0(Y)$.

Proof. This follows from Propositions 2.5 and 4.3. □

Theorem 4.5. *Let G be a countable, discrete, torsion-free group which is uniformly embeddable in a Hilbert space. Suppose that the classifying space BG is a finite simplicial complex and that the full group C^* -algebra $C^*(G)$ is K -quasidiagonal. Then all the elements of $K^0(BG)$ are almost flat.*

Proof. We have seen in the proof of Theorem 3.1 that under the present assumptions on G the dual assembly map $\nu: \text{KK}(C^*(G), \mathbb{C}) \rightarrow K^0(BG)$ is surjective. Since $C^*(G)$ is K -quasidiagonal by hypothesis (this holds for instance if $C^*(G)$ is quasidiagonal as observed in Remark 2.3), we have $\text{KK}(C^*(G), \mathbb{C}) = \text{KK}_{\text{qd}}(C^*(G), \mathbb{C})$. The result follows now from Corollary 4.4. □

From Theorem 4.5 one can derive potential obstructions to quasidiagonality of group C^* -algebras.

Remark 4.6. Let G be a countable, discrete, torsion-free group which is uniformly embeddable in a Hilbert space and such that the classifying space BG is a finite simplicial complex. If not all elements of $K^0(BG)$ are almost flat, then $C^*(G)$ is not quasidiagonal.

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