

## Degenerations for representations of extended Dynkin quivers

Grzegorz Zwara

**Abstract.** Let  $A$  be the path algebra of a quiver of extended Dynkin type  $\tilde{A}_n, \tilde{D}_n, \tilde{E}_6, \tilde{E}_7$  or  $\tilde{E}_8$ . We show that a finite dimensional  $A$ -module  $M$  degenerates to another  $A$ -module  $N$  if and only if there are short exact sequences  $0 \rightarrow U_i \rightarrow M_i \rightarrow V_i \rightarrow 0$  of  $A$ -modules such that  $M = M_1$ ,  $M_{i+1} = U_i \oplus V_i$  for  $1 \leq i \leq s$  and  $N = M_{s+1}$  are true for some natural number  $s$ .

**Mathematics Subject Classification (1991).** 14L30, 16G10, 16G70.

**Keywords.** Modules, degenerations, extended Dynkin diagrams.

### 1. Introduction and main results

Let  $A$  be a finite dimensional associative  $K$ -algebra with an identity over an algebraically closed field  $K$  of arbitrary characteristic. If  $a_1 = 1, \dots, a_n$  is a basis of  $A$  over  $K$ , we have the constant structures  $a_{ijk}$  defined by  $a_i a_j = \sum a_{ijk} a_k$ . The affine variety  $\text{mod}_A(d)$  of  $d$ -dimensional unital left  $A$ -modules consists of  $n$ -tuples  $m = (m_1, \dots, m_n)$  of  $d \times d$ -matrices with coefficients in  $K$  such that  $m_1$  is the identity matrix and  $m_i m_j = \sum a_{ijk} m_k$  holds for all indices  $i$  and  $j$ . The general linear group  $\text{Gl}_d(K)$  acts on  $\text{mod}_A(d)$  by conjugation, and the orbits correspond to the isomorphism classes of  $d$ -dimensional modules (see [11]). We shall agree to identify a  $d$ -dimensional  $A$ -module  $M$  with the point of  $\text{mod}_A(d)$  corresponding to it. We denote by  $\mathcal{O}(M)$  the  $\text{Gl}_d(K)$ -orbit of a module  $M$  in  $\text{mod}_A(d)$ . Then one says that a module  $N$  in  $\text{mod}_A(d)$  is a degeneration of a module  $M$  in  $\text{mod}_A(d)$  if  $N$  belongs to the Zariski closure  $\overline{\mathcal{O}(M)}$  of  $\mathcal{O}(M)$  in  $\text{mod}_A(d)$ , and we denote this fact by  $M \leq_{\text{deg}} N$ . Thus  $\leq_{\text{deg}}$  is a partial order on the set of isomorphism classes of  $A$ -modules of a given dimension. It is not clear how to characterize  $\leq_{\text{deg}}$  in terms of representation theory.

There has been a work by S. Abeasis and A. del Fra [1], K. Bongartz [7], [10], [9], Ch. Riedtmann [13], and A. Skowroński and the author [15], [16], [17] connecting  $\leq_{\text{deg}}$  with other partial orders  $\leq_{\text{ext}}$  and  $\leq$  on the isomorphism classes in  $\text{mod}_A(d)$ . They are defined in terms of representation theory as follows:

- $M \leq_{\text{ext}} N$ :  $\Leftrightarrow$  there are modules  $M_i, U_i, V_i$  and short exact sequences  $0 \rightarrow U_i \rightarrow M_i \rightarrow V_i \rightarrow 0$  in  $\text{mod } A$  such that  $M = M_1, M_{i+1} = U_i \oplus V_i, 1 \leq i \leq s$ , and  $N = M_{s+1}$  for some natural number  $s$ .
- $M \leq N$ :  $\Leftrightarrow [X, M] \leq [X, N]$  holds for all modules  $X$ .

Here and later on we abbreviate  $\dim_K \text{Hom}_A(X, Y)$  by  $[X, Y]$ , and furthermore  $\dim_K \text{Ext}_A^i(X, Y)$  by  $[X, Y]^i$ . Then for modules  $M$  and  $N$  in  $\text{mod } A(d)$  the following implications hold:

$$M \leq_{\text{ext}} N \implies M \leq_{\text{deg}} N \implies M \leq N$$

(see [10], [13]). Unfortunately the reverse implications are not true in general, and it would be interesting to find out when they are. K. Bongartz proved in [10] (see also [8]) that it is the case for all representations of Dynkin quivers and the double arrow. Recently, the author proved in [17] that  $\leq$  and  $\leq_{\text{ext}}$  are also equivalent for all modules over representation-finite blocks of group algebras. Moreover, in [9] K. Bongartz proved that  $\leq_{\text{deg}}$  and  $\leq$  coincide for all representations of extended Dynkin quivers, and conjectured that possibly  $\leq_{\text{ext}}$  and  $\leq_{\text{deg}}$  also coincide. The main aim of this paper is to prove the following theorem.

**Theorem.** *The partial orders  $\leq$  and  $\leq_{\text{ext}}$  coincide for modules over all tame concealed algebras.*

In particular we get the positive answer to the above question.

**Corollary.** *The partial orders  $\leq, \leq_{\text{deg}}$  and  $\leq_{\text{ext}}$  are equivalent for all representations of extended Dynkin quivers.*

We mention that K. Bongartz described in [8, Theorem 4] the set-theoretic structure of minimal degenerations of modules provided the partial orders  $\leq_{\text{ext}}$  and  $\leq$  coincide. In a forthcoming paper we shall describe the minimal singularities for representations of extended Dynkin quivers.

The paper is organized as follows. In Section 2 we fix the notation, recall the relevant definitions and facts, and prove some preliminary results on modules which we apply in our investigations. In Section 3 we recall several known facts on tame concealed algebras. In particular we describe some properties of the additive categories of standard stable tubes. Section 4 is devoted to the proof of the Theorem.

For basic background on the topics considered here we refer to [5], [10], [9], [11] and [14]. The results presented in this paper form a part of the author's doctoral dissertation written under supervision of professor A. Skowroński. The author gratefully acknowledges support from the Polish Scientific Grant KBN No. 2 PO3A 020 08.

## 2. Preliminary results

**2.1.** Throughout the paper  $A$  denotes a fixed finite dimensional associative  $K$ -algebra with an identity over an algebraically closed field  $K$ . We denote by  $\text{mod } A$  the category of finite dimensional left  $A$ -modules, by  $\text{ind } A$  the full subcategory of  $\text{mod } A$  formed by indecomposable modules, and by  $\text{rad}(\text{mod } A)$  the Jacobson radical of  $\text{mod } A$ . By an  $A$ -module is meant an object from  $\text{mod } A$ . Further, we denote by  $\Gamma_A$  the Auslander-Reiten quiver of  $A$  and by  $\tau = \tau_A$  and  $\tau^- = \tau_A^-$  the Auslander-Reiten translations  $D\text{Tr}$  and  $\text{Tr } D$ , respectively. We shall agree to identify the vertices of  $\Gamma_A$  with the corresponding indecomposable modules. For a module  $M$  we denote by  $[M]$  the image of  $M$  in the Grothendieck group  $K_0(A)$  of  $A$ . Thus  $[M] = [N]$  if and only if  $M$  and  $N$  have the same simple composition factors including the multiplicities. Finally, for a family  $\mathcal{F}$  of  $A$ -modules, we denote by  $\text{add}(\mathcal{F})$  the additive category given by  $\mathcal{F}$ , that is, the full subcategory of  $\text{mod } A$  formed by all modules isomorphic to the direct summands of direct sums of modules from  $\mathcal{F}$ .

**2.2.** Following [13], for  $M, N$  from  $\text{mod } A$ , we set  $M \leq N$  if and only if  $[X, M] \leq [X, N]$  for all  $A$ -modules  $X$ . The fact that  $\leq$  is a partial order on the isomorphism classes of  $A$ -modules follows from a result by M. Auslander [3] (see also [7]). Observe that, if  $M$  and  $N$  have the same dimension and  $M \leq N$ , then  $[M] = [N]$ . Moreover, M. Auslander and I. Reiten have shown in [4] that, if  $M$  and  $N$  are  $A$ -modules with  $[M] = [N]$ , then for all nonprojective indecomposable  $A$ -modules  $X$  and all noninjective indecomposable modules  $Y$  the following formulas hold (see [12]):

$$\begin{aligned} [X, M] - [M, \tau X] &= [X, N] - [N, \tau X] \\ [M, Y] - [\tau^- Y, M] &= [N, Y] - [\tau^- Y, N] \end{aligned}$$

Hence, if  $[M] = [N]$ , then  $M \leq N$  if and only if  $[M, X] \leq [N, X]$  for all  $A$ -modules  $X$ .

**2.3.** Let  $M$  and  $N$  be  $A$ -modules with  $[M] = [N]$  and

$$\Sigma: 0 \rightarrow D \rightarrow E \rightarrow F \rightarrow 0$$

an exact sequence in  $\text{mod } A$ . Following [13] we define the additive functions  $\delta_{M,N}$ ,  $\delta'_{M,N}$  and  $\delta_\Sigma$  on  $A$ -modules  $X$  as follows

$$\begin{aligned} \delta_{M,N}(X) &= [N, X] - [M, X] \\ \delta'_{M,N}(X) &= [X, N] - [X, M] \\ \delta_\Sigma(X) &= \delta_{E, D \oplus F}(X) = [D \oplus F, X] - [E, X]. \end{aligned}$$

From the Auslander-Reiten formulas (2.2) we get the following very useful equalities

$$\delta_{M,N}(X) = \delta'_{M,N}(\tau^- X), \quad \delta_{M,N}(\tau X) = \delta'_{M,N}(X)$$

for all  $A$ -modules  $X$ . Observe also that  $\delta_{M,N}(I) = 0$  for any injective  $A$ -module  $I$ , and  $\delta'_{M,N}(P) = 0$  for any projective  $A$ -module  $P$ . In particular, the following conditions are equivalent:

- (1)  $M \leq N$ ,
- (2)  $\delta_{M,N}(X) \geq 0$  for all  $X \in \Gamma_A$ ,
- (3)  $\delta'_{M,N}(X) \geq 0$  for all  $X \in \Gamma_A$ .

**2.4.** For an  $A$ -module  $M$  and an indecomposable  $A$ -module  $Z$ , we denote by  $\mu(M, Z)$  the multiplicity of  $Z$  as a direct summand of  $M$ . For a nonprojective indecomposable  $A$ -module  $U$ , we denote by  $\Sigma(U)$  an Auslander-Reiten sequence

$$\Sigma(U) : 0 \rightarrow \tau U \rightarrow E(U) \rightarrow U \rightarrow 0,$$

and, for an injective indecomposable  $A$ -module  $I$ , we set  $E(I) = I/\text{soc}(I)$ ,  $\tau^- I = 0$ .

We shall need the following lemma.

**Lemma 2.5.** *Let  $M, N$  be  $A$ -modules with  $[M] = [N]$  and  $U$  an indecomposable  $A$ -module. Then*

$$\mu(N, U) - \mu(M, U) = \delta_{M,N}(U) - \delta_{M,N}(E(U)) + \delta_{M,N}(\tau U).$$

*Proof.* If  $U$  is nonprojective, then the Auslander-Reiten sequence  $\Sigma(U)$  induces an exact sequence

$$0 \rightarrow \text{Hom}_A(M, \tau U) \rightarrow \text{Hom}_A(M, E(U)) \rightarrow \text{rad}(M, U) \rightarrow 0,$$

and hence we get

$$[M, \tau U \oplus U] - [M, E(U)] = [M, U] - \dim_K \text{rad}(M, U) = \mu(M, U).$$

Similarly, we have

$$[N, \tau U \oplus U] - [N, E(U)] = \mu(N, U).$$

Then we obtain the equalities

$$\begin{aligned} \mu(N, U) - \mu(M, U) &= ([N, \tau U \oplus U] - [M, \tau U \oplus U]) - (N, [E(U)] - [M, E(U)]) \\ &= \delta_{M,N}(\tau U) + \delta_{M,N}(U) - \delta_{M,N}(E(U)). \end{aligned}$$

Assume now that  $U$  is projective. Then  $\text{Hom}_A(M, \text{rad } U) \simeq \text{rad}(M, U)$ , and so

$$[M, U] - [M, \text{rad } U] = \mu(M, U).$$

Similarly, we have

$$[N, U] - [N, \text{rad } U] = \mu(N, U).$$

Therefore, we get

$$\begin{aligned} \mu(N, U) - \mu(M, U) &= ([N, U] - [M, U]) - ([N, \text{rad } U] - [M, \text{rad } U]) \\ &= \delta_{M,N}(U) - \delta_{M,N}(\text{rad } U) \\ &= \delta_{M,N}(U) - \delta_{M,N}(E(U)) + \delta_{M,N}(\tau U). \end{aligned}$$

**2.6.** A component  $\Gamma$  of  $\Gamma_A$ , without oriented cycles and such that any  $\tau$ -orbit contains a projective module is called *preprojective*. Also any module  $X \in \text{add}(\Gamma)$  is called *preprojective*. There is a partial order  $\preceq$  on the set of vertices of a preprojective component  $\Gamma$  with  $U \preceq V$  if there exists a path in  $\Gamma$  leading from  $U$  to  $V$ . Preinjective components and preinjective modules are defined dually.

**2.7.** Let  $M$  and  $N$  be  $A$ -modules with  $M < N$ . A short nonsplittable exact sequence

$$\Sigma : 0 \rightarrow L_1 \rightarrow M' \rightarrow L_2 \rightarrow 0$$

is said to be *admissible for*  $(M, N)$  if  $M = M' \oplus V$  for some  $A$ -module  $V$  and  $[L_1 \oplus L_2 \oplus V, X] \leq [N, X]$  for any  $A$ -module  $X$  (equivalently,  $\delta_\Sigma \leq \delta_{M,N}$  or  $\delta'_\Sigma \leq \delta'_{M,N}$ ).

We shall need the following fact.

**Proposition.** *Let  $M$  and  $N$  be  $A$ -modules with  $[M] = [N]$ , and assume that  $M$  is preprojective and  $M < N$  holds. Then there exists an admissible sequence  $0 \rightarrow L_1 \rightarrow M \rightarrow L_2 \rightarrow 0$  for  $(M, N)$ .*

*Proof.* We can repeat the proof of Theorem 4.1 in [10], since Bongartz has used the fact that  $N$  is preprojective only to prove that  $M$  is preprojective.

### 3. Some properties of modules over tame concealed algebras

Here and later on  $A$  denotes a fixed tame concealed algebra [14].

**3.1.** We recall those aspects of the representation theory of tame concealed algebras that we will need later (see [14], [10]). We have a decomposition of  $\Gamma_A$  into the preprojective part  $\mathcal{P}$ , the preinjective part  $\mathcal{I}$  and the regular one  $\mathcal{R}$ , where  $\mathcal{R}$  is a sum of stable tubes  $\mathcal{T}_\mu$  of ranks  $r_\mu \geq 1$ , for  $\mu \in \mathbb{P}^1(K) = K \cup \{\infty\}$ . For any  $A$ -module  $X$  we can write  $X = X_P \oplus X_R \oplus X_I$ , where  $X_P \in \text{add}(\mathcal{P})$ ,  $X_I \in \text{add}(\mathcal{I})$  and  $X_R = \bigoplus_{\mu \in \mathbb{P}^1(K)} X_\mu$  with  $X_\mu \in \text{add}(\mathcal{T}_\mu)$ . All connected components of  $\Gamma_A$  are standard (see [14] for definition). A tube of rank 1 is called *homogeneous* and  $\mathcal{T}_\mu$  is not homogeneous for at most three  $\mu \in \mathbb{P}^1(K)$ . For any  $X, Y \in \Gamma_A$ , if  $[X, Y] > 0$

and  $X$  and  $Y$  do not belong to the same connected component of  $\Gamma_A$ , then  $X$  is preprojective or  $Y$  is preinjective. The abelian category  $\text{add}(\mathcal{T}_\mu)$  is serial and closed under extensions, so we may speak about simple regular modules, composition series in  $\text{add}(\mathcal{T}_\mu)$ , and so on. A tube  $\mathcal{T}_\mu$  has  $r_\mu$  simple regular modules, which are conjugate under  $\tau$ . If a tube  $\mathcal{T}_\mu$  is homogeneous ( $r_\mu = 1$ ), then we denote a unique simple regular module in  $\mathcal{T}_\mu$  by  $E_\mu$ . For any simple regular module  $E$  in  $\mathcal{T}_\mu$  we denote by

$$\cdots \rightarrow \varphi^3 E \rightarrow \varphi^2 E \rightarrow \varphi E \rightarrow \varphi^0 E = E$$

a unique infinite sectional path in  $\mathcal{T}_\mu$  of epimorphisms and by

$$E = \psi^0 E \rightarrow \psi E \rightarrow \psi^2 E \rightarrow \psi^3 E \rightarrow \cdots$$

a unique infinite sectional path in  $\mathcal{T}_\mu$  of monomorphisms. Then every indecomposable module in  $\mathcal{T}_\mu$  is of the form  $\varphi^j E$  and  $\psi^j E'$  for some  $j \geq 0$  and simple regular modules  $E, E'$  in  $\mathcal{T}_\mu$ . In an obvious way we define functions

$$\varphi^k, \psi^k : \mathcal{T}_\mu \rightarrow \mathcal{T}_\mu \cup \{0\}$$

for any integer  $k$ , such that for any simple regular module  $E$  in  $\mathcal{T}_\mu$  and  $l \geq 0$  we have:

- $\varphi^k(\varphi^l E) = \varphi^{k+l} E$  if  $k+l \geq 0$ , and  $\varphi^k(\varphi^l E) = 0$  otherwise;
- $\psi^k(\psi^l E) = \psi^{k+l} E$  if  $k+l \geq 0$ , and  $\psi^k(\psi^l E) = 0$  otherwise.

Observe that for any integer  $k$  and  $X \in \mathcal{T}_\mu$  we have  $\tau X = \psi^- \varphi X$ ,  $\tau^- X = \varphi^- \psi X$  and  $\varphi^{kr} X = \psi^{kr} X$ , where  $r = r_\mu$ .

There is a positive, sincere vector  $\underline{h}$  in  $K_0(A)$ , such that

$$[\varphi^{kr_\mu-1} E] = [\psi^{kr_\mu-1} E] = k \cdot \underline{h}$$

for any simple regular module  $E$  in  $\mathcal{T}_\mu$  and  $k \geq 1$ .

**3.2** The global dimension of  $A$  is at most 2. All preprojective and regular modules have projective dimension at most 1, and dually all preinjective and regular modules have injective dimension at most 1. The bilinear form on  $K_0(A) = \mathbb{Z}^n$  which extends the equality

$$\langle [M], [N] \rangle = [M, N] - [M, N]^1 + [M, N]^2$$

and the associated quadratic form  $\chi : K_0(A) \rightarrow \mathbb{Z}$ ,  $\chi(\underline{y}) = \langle \underline{y}, \underline{y} \rangle$ , will play an important role. If  $M$  has no non-zero preinjective direct summand or  $N$  has no non-zero preprojective direct summand, then

$$\langle [M], [N] \rangle = [M, N] - [M, N]^1.$$

The quadratic form  $\chi$  is positive semidefinite and controls the category  $\text{mod } A$  (see [14]). This means that the following conditions are satisfied:

- (1) For any  $X \in \Gamma_A$ ,  $\chi([X]) \in \{0, 1\}$ .
- (2) For any connected, positive vector  $\underline{y}$  with  $\chi(\underline{y}) = 1$ , there is precisely one  $X \in \Gamma_A$  with  $[X] = \underline{y}$ .
- (3) For any connected, positive vector  $\underline{y}$  with  $\chi(\underline{y}) = 0$ , there is an infinite family of pairwise nonisomorphic modules  $X \in \Gamma_A$  with  $[X] = \underline{y}$ .

Moreover,  $\chi(\underline{h}) = 0$  and  $\langle \underline{h}, \underline{y} \rangle = - \langle \underline{y}, \underline{h} \rangle$  for any  $\underline{y} \in K_0(A)$ . Finally, we define a linear function  $\partial : K_0(A) \rightarrow \mathbb{Z}$ , called the *defect*, as follows

$$\partial \underline{y} = \langle \underline{h}, \underline{y} \rangle = - \langle \underline{y}, \underline{h} \rangle .$$

The main property of  $\partial$  is that the value  $\partial[X]$  is negative for any  $X \in \mathcal{P}$ , positive for any  $X \in \mathcal{I}$ , and zero for any  $X \in \mathcal{R}$ .

**Lemma 3.3.** *If  $M \leq N$ , then  $\partial[M_P] - \partial[N_P] = \partial[N_I] - \partial[M_I] \geq 0$ .*

*Proof.* Since  $[M] = [N]$ , then

$$\partial[M_P] + \partial[M_R] + \partial[M_I] = \partial[N_P] + \partial[N_R] + \partial[N_I].$$

The equalities  $\partial[M_R] = \partial[N_R] = 0$  imply  $\partial[M_P] - \partial[N_P] = \partial[N_I] - \partial[M_I]$ . Take a homogeneous tube  $\mathcal{T}_\mu$  with  $(M \oplus N)_\mu = 0$ . Then

$$\begin{aligned} 0 \leq [N, E_\mu] - [M, E_\mu] &= [N_P, E_\mu] - [M_P, E_\mu] \\ &= \langle [N_P], [E_\mu] \rangle - \langle [M_P], [E_\mu] \rangle = \langle [N_P], \underline{h} \rangle - \langle [M_P], \underline{h} \rangle \\ &= \partial[M_P] - \partial[N_P]. \end{aligned}$$

**3.4.** Fix a tube  $\mathcal{T}_\mu$ ,  $\mu \in \mathbb{P}^1(K)$ , and a module  $X \in \text{add}(\mathcal{T}_\mu)$ . Let  $H(X) \geq 0$  be the minimal number such that for any indecomposable direct summand  $\varphi^j E$  of  $X$ , where  $E$  is a simple regular module in  $\mathcal{T}_\mu$ , we have  $j < H(X)$  (so  $H(X)$  is the maximal quasi-length of an indecomposable direct summand of  $X$ ). For any simple regular module  $E$  in  $\mathcal{T}_\mu$  we denote by  $\ell_E(X)$  the multiplicity of  $E$  as a composition factor of a composition series of  $X$  in the category  $\text{add}(\mathcal{T}_\mu)$ . If  $E_1, \dots, E_r$  ( $r = r_\mu$ ) denote all simple regular modules in  $\mathcal{T}_\mu$ , then

$$[X] = \ell_{E_1}(X)[E_1] + \ell_{E_2}(X)[E_2] + \dots + \ell_{E_r}(X)[E_r].$$

Moreover, the following lemma holds (see Lemma 5.1 in [15]).

**Lemma 3.5.** *Let  $X$  be a module in  $\text{add}(\mathcal{T}_\mu)$  and  $E$  be any simple regular module in  $\mathcal{T}_\mu$ . Then for any  $k \geq H(X) - 1$  we have*

$$[X, \psi^k E] = \ell_E(X) = [\varphi^k E, X].$$

As a consequence of the above lemma we obtain

**Lemma 3.6.** *Let  $i, j$  be integers with  $j \geq 0$  and  $E$  be any simple regular module in  $\mathcal{T}_\mu$ . Then*

- (i)  $[\varphi^s \psi^t E, \psi^{r-1} E] = 1$  for all  $s \geq 0$ ,  $0 \leq t < r$ , and  $[X, \psi^{r-1} E] = 0$  for the remaining indecomposable modules  $X \in \mathcal{T}_\mu$ .
- (ii)  $[\varphi^s \psi^t E, \psi^{r-1} \varphi^j E] - [\varphi^s \psi^t E, \psi^- \varphi^j E] = 1$  for all  $s \geq j$ ,  $0 \leq t < r$ , and  $[X, \psi^{r-1} \varphi^j E] - [X, \psi^- \varphi^j E] = 0$  for the remaining indecomposable modules  $X \in \mathcal{T}_\mu$ .
- (iii) If  $j \geq r$ , then  $[\psi^j E, \psi^j E] > 1$ .
- (iv)  $[E, \psi^j E] = 1$  and  $[E', \psi^j E] = 0$  for all simple regular modules  $E' \neq E$  in  $\mathcal{T}_\mu$ .

Applying Lemmas 4.3 and 4.6 in [15], we obtain the following result (see also Corollary 2.2 in [2]).

**Lemma 3.7.** *Let  $X \in \mathcal{T}_\mu$ ,  $s, t \geq 0$  be integers, and  $M, N$  be  $A$ -modules with  $[M] = [N]$ . Then*

- (i) *There exists a nonsplittable exact sequence*

$$\Sigma : 0 \rightarrow \varphi^s X \rightarrow \varphi^s \psi^{t+1} X \oplus \varphi^- X \rightarrow \varphi^- \psi^{t+1} X \rightarrow 0.$$

Moreover, if  $s < r$  or  $t < r$ , then  $\delta_\Sigma(\varphi^i \psi^j X) = 1$  for all  $0 \leq i \leq s$ ,  $0 \leq j \leq t$ , and  $\delta_\Sigma(Y) = 0$  for the remaining indecomposable  $A$ -modules.

- (ii)

$$\begin{aligned} & \sum_{0 \leq i \leq s} \sum_{0 \leq j \leq t} \mu(N, \varphi^{-i} \psi^j X) - \mu(M, \varphi^{-i} \psi^j X) \\ &= \delta_{M,N}(\psi^- \varphi^{s+1} X) - \delta_{M,N}(\psi^- X) - \delta_{M,N}(\varphi^{s+1} \psi^t X) + \delta_{M,N}(\psi^t X). \end{aligned}$$

**Lemma 3.8.** *Let  $M, N$  be  $A$ -modules with  $M \leq N$  and  $\partial[M_P] = \partial[N_P]$ . Then*

- (i)  $[M_P] \geq [N_P]$ .
- (ii) *For any indecomposable simple regular module  $E$  in a tube  $\mathcal{T}_\mu$  we have*

$$\ell_E(M_\mu) \leq \ell_E(N_\mu).$$

- (iii) *For any tube  $\mathcal{T}_\mu$ ,  $[M_\mu] \leq [N_\mu]$  holds.*

*Proof.* (i) Let  $I$  be any indecomposable injective  $A$ -module. We shall show that  $[M_P, I] \geq [N_P, I]$ . For all but finitely many  $k > 0$ , the vector  $k \cdot \underline{h} - [I]$  is positive



and connected. Moreover,

$$\chi(k \cdot \underline{h} - [I]) = \langle k \cdot \underline{h} - [I], k \cdot \underline{h} - [I] \rangle = \langle [I], [I] \rangle = \chi([I]) = 1.$$

Thus for all but finitely many  $k > 0$  there is an indecomposable  $A$ -module  $X_k$  with  $[X_k] = k \cdot \underline{h} - [I]$ . Of course

$$\partial[X_k] = \langle \underline{h}, k \cdot \underline{h} - [I] \rangle = -\langle \underline{h}, [I] \rangle = -\partial[I] < 0,$$

which implies that  $X_k$  is preprojective. Take  $k > 0$  such that there exists a preprojective  $A$ -module  $X_k$  with  $[X_k] = k\underline{h} - [I]$  and  $[M_P \oplus N_P, X_k]^1 = 0$ . Then

$$\begin{aligned} [M_P, I] &= \langle [M_P], [I] \rangle = -k\partial[M_P] - \langle [M_P], [X_k] \rangle = -k\partial[M_P] - [M_P, X_k] \\ &\geq -k\partial[N_P] - [N_P, X_k] = -k\partial[N_P] - \langle [N_P], [X_k] \rangle = \langle [N_P], [I] \rangle \\ &= [N_P, I]. \end{aligned}$$

Hence,  $[M_P] \geq [N_P]$ .

(ii) Let  $r = r_\mu$  and  $s$  be a natural number such that  $sr \geq H(M_\mu \oplus N_\mu)$ . Then

$$\begin{aligned} 0 \leq [N, \psi^{sr-1}E] - [M, \psi^{sr-1}E] &= [N_P, \psi^{sr-1}E] - [M_P, \psi^{sr-1}E] + [N_\mu, \psi^{sr-1}E] \\ &\quad - [M_\mu, \psi^{sr-1}E] = \langle [N_P], s \cdot \underline{h} \rangle - \langle [M_P], s \cdot \underline{h} \rangle + \ell_E(N_\mu) - \ell_E(M_\mu) \\ &= -s(\partial[N_P] - \partial[M_P]) + \ell_E(N_\mu) - \ell_E(M_\mu) = \ell_E(N_\mu) - \ell_E(M_\mu), \end{aligned}$$

by Lemma 3.5.

(iii) follows from (ii), since for any  $X \in \text{add}(\mathcal{T}_\mu)$  we have

$$[X] = \ell_{E_1}(X)[E_1] + \dots + \ell_{E_r}(X)[E_r],$$

where  $r = r_\mu$  and  $E_1, \dots, E_r$  denote all simple regular modules in  $\mathcal{T}_\mu$ .

**Lemma 3.9.** *Let  $\Gamma'$  be a disjoint union of some tubes in  $\Gamma_A$  and  $\Gamma'' = \Gamma_A \setminus \Gamma'$ . Then for any  $X \in \text{add}(\Gamma'')$  and  $R_1, R_2 \in \text{add}(\Gamma')$  with  $[R_1] = [R_2]$  we have*

$$[X, R_1] = [X, R_2] \quad \text{and} \quad [R_1, X] = [R_2, X].$$

*Proof.* By duality, it is enough to prove the first equality. We may assume that  $X$  is indecomposable and preprojective, because  $[X, R_1] = [X, R_2] = 0$  for any regular or preinjective  $A$ -module  $X \in \text{add}(\Gamma'')$ . Hence, we get

$$[X, R_1] - [X, R_1]^1 = \langle [X], [R_1] \rangle = \langle [X], [R_2] \rangle = [X, R_2] - [X, R_2]^1.$$

Since  $[X, R_1]^1 = [X, R_2]^1 = 0$  for any preprojective  $A$ -module  $X$ , we obtain the required equality  $[X, R_1] = [X, R_2]$ .

#### 4. Proof of the Theorem

We shall divide our proof of the Theorem into several steps. We use the notations introduced in Sections 2 and 3.

**Proposition 4.1.** *Let  $M$  and  $N = N_0 \oplus N_1$  be  $A$ -modules without any common indecomposable direct summands. Assume that  $M < N$  and  $N_0$  is a preprojective indecomposable  $A$ -module with  $[N_0, N] = [N_0, M]$ . If there is no admissible sequence of the form  $0 \rightarrow N_0 \rightarrow M \rightarrow C \rightarrow 0$  for  $(M, N)$ , then there exist a homogeneous tube  $\mathcal{T}_\nu$  in  $\Gamma_A$ , for which  $(M \oplus N)_\nu = 0$ , and a nonsplittable exact sequence*

$$0 \rightarrow L \rightarrow M \rightarrow E_\nu \rightarrow 0,$$

such that  $[L \oplus E_\nu, X] \leq [N, X]$  for any indecomposable  $A$ -module  $X \notin \mathcal{T}_\nu$ .

*Proof.* By Theorem 2.4 in [10]  $N_0$  embeds into  $M$  and the closure  $\overline{\mathcal{Q}}$  of the quotients of  $M$  by  $N_0$  contains  $N_1$ . Let  $t = \dim_K M + 1$  and  $\Gamma' \cup \mathcal{T}_{\mu_1} \cup \dots \cup \mathcal{T}_{\mu_t}$  be the disjoint union of all homogeneous tubes which do not contain any indecomposable direct summand of  $M \oplus N$ . We set  $\Gamma'' = \Gamma_A \setminus \Gamma'$ . Then  $\Gamma''$  is the disjoint union of finitely many connected components of  $\Gamma_A$ , and for any natural number  $d$ , there is only a finite number of isomorphism classes of  $d$ -dimensional modules from  $\text{add}(\Gamma'')$ . We decompose the set  $\mathcal{Q}$  into a finite union of pairwise disjoint subsets  $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_r$  such that two modules  $U_1 \oplus U_2$  and  $V_1 \oplus V_2$  from  $\mathcal{Q}$  with  $U_1, V_1 \in \text{add}(\Gamma'')$ ,  $U_2, V_2 \in \text{add}(\Gamma')$ , belong to the same  $\mathcal{D}_i$ ,  $1 \leq i \leq r$ , if and only if  $U_1 \simeq V_1$ . Since  $\overline{\mathcal{Q}} = \overline{\mathcal{D}_1} \cup \overline{\mathcal{D}_2} \cup \dots \cup \overline{\mathcal{D}_r}$ , the module  $N_1$  belongs to  $\overline{\mathcal{D}_i}$  for some  $1 \leq i \leq r$ . Take any  $V \oplus R \in \mathcal{D}_i$  with  $V \in \text{add}(\Gamma'')$  and  $R \in \text{add}(\Gamma')$ . Then any module from  $\mathcal{D}_i$  is, up to isomorphism, of the form  $V \oplus R'$  for some  $R' \in \text{add}(\Gamma')$  with  $[R'] = [R]$ . Consequently, for any indecomposable module  $X \in \text{add}(\Gamma'')$  we have  $[R', X] = [R, X]$ , by Lemma 3.9. Applying upper semicontinuity of the function  $(Z \rightarrow \dim_K \text{Hom}_A(Z, X))$ , we conclude that the set

$$\mathcal{S}_X = \{Z \in \overline{\mathcal{D}_i}; [Z, X] \geq [V \oplus R, X] = [V \oplus R', X]\}$$

is closed (see [11],[13]), for any  $X \in \text{add}(\Gamma'')$ . Since  $\mathcal{D}_i$  is a subset of  $\mathcal{S}_X$ , we obtain that  $[N_1, X] \geq [V \oplus R, X]$  for any  $X \in \text{add}(\Gamma'')$ . Take a tube  $\mathcal{T}_{\mu_c} \subset \Gamma''$ , for some  $1 \leq c \leq t$ , such that any direct summand of  $V \oplus N_1$  does not belong to  $\mathcal{T}_{\mu_c}$ . It is possible, because  $\dim_K V < t$ .

Assume that  $R = 0$ . Then by Lemma 3.9, for any  $\mathcal{T}_\lambda \subset \Gamma'$  and  $j \geq 0$ , we have

$$[N_1, \varphi^j E_\lambda] = [N_1, \varphi^j E_{\mu_c}] \geq [V, \varphi^j E_{\mu_c}] = [V, \varphi^j E_\lambda].$$

This leads to a contradiction, since the sequence  $0 \rightarrow N_0 \rightarrow M \rightarrow V \rightarrow 0$  is admissible for  $(M, N)$ . So, there is a tube  $\mathcal{T}_\nu \subset \Gamma'$  such that  $V \oplus R = I \oplus \varphi^j E_\nu$  for

some  $A$ -module  $I$  and  $j \geq 0$ . Then, for an epimorphism  $p : \varphi^j E_\nu \rightarrow E_\nu$  we obtain the following commutative diagram with exact rows and columns

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & & & & I \oplus \varphi^{j-1} E_\nu & & \\
 & & & & \downarrow & & \\
 0 & \rightarrow & N_0 & \rightarrow & M & \rightarrow & I \oplus \varphi^j E_\nu \rightarrow 0 \\
 & & \downarrow & & \parallel & & \downarrow (0,p) \\
 0 & \rightarrow & L & \rightarrow & M & \rightarrow & E_\nu \rightarrow 0 \\
 & & \downarrow & & & & \downarrow \\
 & & I \oplus \varphi^{j-1} E_\nu & & & & 0 \\
 & & \downarrow & & & & \\
 & & 0 & & & & 
 \end{array}$$

Hence, for any  $\mathcal{T}_\lambda \subset (\Gamma' \setminus \mathcal{T}_\nu)$  and  $k \geq 0$ , applying Lemma 3.9, we get

$$\begin{aligned}
 [N, \varphi^k E_\lambda] &= [N, \varphi^k E_{\mu_c}] \geq [N_0 \oplus V \oplus R, \varphi^k E_{\mu_c}] \\
 &= [N_0 \oplus I \oplus \varphi^j E_\nu, \varphi^k E_{\mu_c}] \\
 &= [N_0 \oplus I \oplus \varphi^{j-1} E_\nu \oplus E_\nu, \varphi^k E_{\mu_c}] \\
 &\geq [L \oplus E_\nu, \varphi^k E_{\mu_c}] = [L \oplus E_\nu, \varphi^k E_\lambda].
 \end{aligned}$$

This leads to  $[L \oplus E_\nu, X] \leq [N, X]$  for any  $X \in \Gamma_A \setminus \mathcal{T}_\nu$ .

**Proposition 4.2.** *Let  $M$  and  $N$  be  $A$ -modules without any common indecomposable direct summand and such that  $M < N$  and  $M_P \oplus N_P$  is nonzero. Let  $r = r_\mu$  and  $E$  be any simple regular module in  $\mathcal{T}_\mu$  for some  $\mu \in \mathbb{P}^1(K)$ . If there is no admissible sequence for  $(M, N)$ , then*

- (i)  $\partial[M_P] = \partial[N_P]$ .
- (ii)  $\delta'_{M,N}(\varphi^s \psi^t E) = 0$  holds for some  $s \geq 0$  and  $0 \leq t < r$ .
- (iii) For any  $j \geq 1$  such that  $\psi^{-j} E$  is a direct summand of  $M$ , the equality  $\delta'_{M,N}(\varphi^s \psi^t E) = 0$  holds for some  $s \geq j$  and  $0 \leq t < r$ .
- (iv) There are infinitely many modules  $X$  in  $\mathcal{T}_\mu$  with  $\delta'_{M,N}(X) = 0$ .
- (v) There are infinitely many modules  $X$  in  $\mathcal{T}_\mu$  with  $\delta_{M,N}(X) = 0$ .

*Proof.* (i) If  $\delta_{M,N}(X) = 0$  for all indecomposable preprojective  $A$ -modules, then, by Lemma 2.5,  $\mu(M_P, X) = \mu(N_P, X)$  for any indecomposable preprojective  $A$ -module, and consequently  $M_P = N_P = 0$ , which gives a contradiction. Let  $N_0$  be a minimal, with respect to  $\preceq$ , indecomposable preprojective  $A$ -module with  $\delta_{M,N}(N_0) > 0$ . Then by Lemma 2.5 we get

$$\mu(N, N_0) - \mu(M, N_0) = \delta_{M,N}(N_0) > 0,$$

because  $X \prec N_0$  for any indecomposable direct summand  $X$  of  $E(N_0) \oplus \tau N_0$ . This implies that  $N = N_0 \oplus N_1$  for some  $A$ -module  $N_1$ . Of course,  $\delta'_{M,N}(N_0) = \delta_{M,N}(\tau N_0) = 0$  and consequently  $[N_0, N] = [N_0, M]$ . By Proposition 4.1, there is a nonsplittable exact sequence

$$0 \rightarrow L \rightarrow M \rightarrow E_\nu \rightarrow 0$$

such that  $\mathcal{T}_\nu$  is a homogeneous tube for which  $(M \oplus N)_\nu = 0$  and  $[L \oplus E_\nu, X] \leq [N, X]$  for any indecomposable  $A$ -module  $X \notin \mathcal{T}_\nu$ . Observe that  $L_R \oplus L_I = M_R \oplus M_I$ . Then we get a nonsplittable exact sequence

$$\Sigma : 0 \rightarrow L_P \rightarrow M_P \rightarrow E_\nu \rightarrow 0$$

such that  $\delta_\Sigma(X) \leq \delta_{M,N}(X)$  for any indecomposable  $A$ -module  $X \notin \mathcal{T}_\nu$ . Thus there is  $t \geq 0$  such that  $\delta_\Sigma(\varphi^t E_\nu) > \delta_{M,N}(\varphi^t E_\nu)$ , because  $\Sigma$  is not admissible for  $(M, N)$ . We set  $F = E_\nu$ . Since  $\tau^- \varphi^t F = \varphi^t F$ , we get

$$\delta_\Sigma(\varphi^t F) = \delta'_\Sigma(\varphi^t F) = [\varphi^t F, L_P \oplus F] - [\varphi^t F, M_P] = [\varphi^t F, F] = 1$$

and

$$\begin{aligned} \delta_{M,N}(\varphi^t F) &= [N, \varphi^t F] - [M, \varphi^t F] = [N_P, \varphi^t F] - [M_P, \varphi^t F] = \langle [N_P], [\varphi^t F] \rangle \\ &\quad - \langle [M_P], [\varphi^t F] \rangle = \langle [N_P], (t+1) \cdot \underline{h} \rangle - \langle [M_P], (t+1) \cdot \underline{h} \rangle \\ &= (t+1)(\partial[M_P] - \partial[N_P]). \end{aligned}$$

This leads to  $\partial[M_P] - \partial[N_P] < 1$  and, by Lemma 3.3, we have  $\partial[M_P] = \partial[N_P]$ .

(ii) Since  $M_P \leq_{\text{ext}} L_P \oplus E_\nu$ , then  $M_P \leq L_P \oplus E_\nu$ . Let  $X$  be any indecomposable  $A$ -module. If  $X \notin \mathcal{P} \cup \mathcal{T}_\mu$ , then  $[X, M_P] = [X, L_P \oplus \psi^{r-1}E] = 0$ . If  $X \in \mathcal{T}_\mu$ , then  $0 = [X, M_P] \leq [X, L_P \oplus \psi^{r-1}E]$ . Since  $[E_\nu] = \underline{h} = [\psi^{r-1}E]$ , applying Lemma 3.9 for any preprojective module  $X$ , we obtain

$$\begin{aligned} 0 &\leq [X, L_P \oplus \psi^{r-1}E] - [X, M_P] = [X, L_P \oplus E_\nu] - [X, M_P] \\ &= [X, L \oplus E_\nu] - [X, M] \leq [X, N] - [X, M]. \end{aligned}$$

Thus  $M_P \leq L_P \oplus \psi^{r-1}E$  and

$$[X, L_P \oplus \psi^{r-1}E] - [X, M_P] \leq [X, N] - [X, M]$$

for any indecomposable  $A$ -module  $X \notin \mathcal{T}_\mu$ . By Proposition 2.7, there is an admissible sequence

$$\Sigma_0 : 0 \rightarrow L_1 \rightarrow M_P \rightarrow L_2 \rightarrow 0$$

for  $(M_P, L_P \oplus \psi^{r-1}E)$ . Hence,  $[X, L_1 \oplus L_2] \leq [X, L_P \oplus \psi^{r-1}E] = 0$  for any indecomposable module  $X \notin \mathcal{P} \cup \mathcal{T}_\mu$ . This implies that  $L_1 \oplus L_2 \in \text{add}(\mathcal{P} \cup \mathcal{T}_\mu)$ . Since the sequence  $\Sigma_0$  is not admissible for  $(M, N)$ , we get

$$[X, \psi^{r-1}E] = [X, L_P \oplus \psi^{r-1}E] - [X, M_P] > [X, N] - [X, M]$$

for some indecomposable module  $X \in \mathcal{T}_\mu$ . By Lemma 3.6(i),  $[\varphi^s \psi^t E, \psi^{r-1} E] = 1$  for all  $s \geq 0, 0 \leq t < r$  and  $[X, \psi^{r-1} E] = 0$  for the remaining modules  $X \in \mathcal{T}_\mu$ . Hence,  $\delta'_{M,N}(X) = [X, N] - [X, M] = 0$  for some  $X = \varphi^s \psi^t E, s \geq 0$  and  $0 \leq t < r$ .

(iii) Assume that  $\psi^{-} \varphi^j E$  is a direct summand of  $M$  for some  $j \geq 1$ . Take the admissible sequence

$$\Sigma_0 : 0 \rightarrow L_1 \rightarrow M_P \rightarrow L_2 \rightarrow 0$$

for  $(M_P, L_P \oplus \psi^{r-1} E)$ , considered in (ii). We can write  $L_2 = L'_2 \oplus Y$  such that  $L_1 \oplus L'_2$  is preprojective and  $Y \in \text{add}(\mathcal{T}_\mu)$ . If  $Y = 0$ , then  $[X, L_1 \oplus L_2] - [X, M_P] = 0$  for any  $X \in \mathcal{T}_\mu$ , and moreover  $\Sigma_0$  is an admissible sequence for  $(M, N)$ . Hence  $Y \neq 0$ , and consequently

$$[X, Y] = [X, L_1 \oplus L'_2 \oplus Y] - [X, M_P] \leq [X, L_P \oplus \psi^{r-1} E] - [X, M_P] = [X, \psi^{r-1} E]$$

for any  $X$  in  $\mathcal{T}_\mu$ . Applying Lemma 3.6(iv) we get  $[E, Y] \leq [E, \psi^{r-1} E] = 1$  and  $[E', Y] \leq [E', \psi^{r-1} E] = 0$ , for all simple regular modules  $E' \neq E$  in  $\mathcal{T}_\mu$ , and consequently  $Y$  is indecomposable and  $Y = \psi^k E$  for some  $k \geq 0$ . Since  $[Y, Y] \leq [Y, \psi^{r-1} E] \leq 1$ , we obtain  $k < r$ , by Lemma 3.6. Let

$$e : L'_2 \oplus \varphi^j \psi^k E \rightarrow L'_2 \oplus \psi^k E = L_2$$

be a natural epimorphism. Then the pull back of  $\Sigma_0$  under  $e$  is a sequence of the form

$$\Sigma_j : 0 \rightarrow L_1 \rightarrow M_P \oplus \psi^{-} \varphi^j E \rightarrow L'_2 \oplus \varphi^j \psi^k E \rightarrow 0,$$

because  $\ker e$  is isomorphic to  $\psi^{-} \varphi^j E$  and  $\text{Ext}^1(M_P, \psi^{-} \varphi^j E) = 0$ . Observe that  $M_P \oplus \psi^{-} \varphi^j E$  is a direct summand of  $M$  and  $\delta'_{\Sigma_j} \leq \delta'_{\Sigma_0}$ . This implies that  $\delta'_{\Sigma_j}(X) \leq \delta'_{M,N}(X)$  for any indecomposable  $A$ -module  $X \notin \mathcal{T}_\mu$ . Since the sequence  $\Sigma_j$  is not admissible for  $(M, N)$ , we get  $\delta'_{\Sigma_j}(X) > \delta'_{M,N}(X)$  for some  $X \in \mathcal{T}_\mu$ . Then

$$\delta'_{\Sigma_j}(X) = [X, \varphi^j \psi^k E] - [X, \psi^{-} \varphi^j E] \leq [X, \varphi^j \psi^{r-1} E] - [X, \psi^{-} \varphi^j E],$$

because  $\varphi^j \psi^k E$  may be treated as a submodule of  $\varphi^j \psi^{r-1} E$ . Applying Lemma 3.6(ii) we get that  $[\varphi^s \psi^t E, \varphi^j \psi^{r-1} E] - [\varphi^s \psi^t E, \psi^{-} \varphi^j E] = 1$  for all  $s \geq j, 0 \leq t < r$ , and  $[Y, \varphi^j \psi^{r-1} E] - [Y, \psi^{-} \varphi^j E] = 0$  for the remaining indecomposable modules  $Y \in \mathcal{T}_\mu$ . Thus,  $X = \varphi^s \psi^t E$  and  $\delta'_{M,N}(X) = 0$  for some  $s \geq j$  and  $0 \leq t < r$ .

(iv) Suppose that the required claim is not true. Take a maximal  $s \geq 0$  and a simple regular module  $E'$  in  $\mathcal{T}_\mu$  such that  $\delta'_{M,N}(\varphi^s E') = 0$ . Applying (ii) for the simple regular module  $\tau^{-} E'$ , we infer that there are numbers  $s' \geq 0$  and  $0 \leq t' < r$  with  $\delta'_{M,N}(\varphi^{s'} \psi^{t'} \tau^{-} E') = \delta'_{M,N}(\varphi^{s'-1} \psi^{t'+1} E') = 0$ . Take a pair  $(s', t')$  with maximal number  $s'$ . Since  $\delta'_{M,N}(\varphi^{s'} \psi^{t'} \tau^{-} E') = \varphi^{s'+t'}(\tau^{-t'-1} E')$ , then  $s' \leq s' + t' \leq s$ , by maximality of  $s$ . Thus,  $\delta'_{M,N}(\varphi^k \psi^l \tau^{-} E') > 0$  for all

$k > s'$  and  $0 \leq l < r$ . Applying Lemma 3.7(ii), we get

$$\begin{aligned} \sum_{s' \leq i \leq s} \sum_{0 \leq j \leq t'} \mu(N, \varphi^i \psi^j E') - \mu(M, \varphi^i \psi^j E') &= \delta_{M,N}(\psi^- \varphi^{s+1} E') \\ &\quad - \delta_{M,N}(\psi^- \varphi^{s'} E') - \delta_{M,N}(\varphi^{s+1} \psi^{t'} E') + \delta_{M,N}(\varphi^{s'} \psi^{t'} E') \\ &\leq \delta'_{M,N}(\varphi^s E') - \delta'_{M,N}(\varphi^{s+1} \psi^{t'} \tau^- E') + \delta'_{M,N}(\varphi^{s'-1} \psi^{t'+1} E') \\ &= -\delta'_{M,N}(\varphi^{s+1} \psi^{t'} \tau^- E') < 0, \end{aligned}$$

because  $s+1 > s'$  and  $0 \leq t' < r$ . Thus  $\varphi^i \psi^j E'$  is a direct summand of  $M$  for some  $s' \leq i \leq s$  and  $0 \leq j < r$ . Let  $E = \tau^{-j-1} E'$ . Then  $\psi^- \varphi^{i+j+1} E$  is a direct summand of  $M$ , and applying (iii), we get numbers  $p \geq i+j+1$  and  $0 \leq q < r$  with  $\delta'_{M,N}(\varphi^p \psi^q E) = 0$ . Observe that  $\varphi^p \psi^q E = \varphi^{p-j} \psi^{q+j} \tau^- E'$  and  $0 \leq q+j < 2r$ . If  $q+j < r$ , then  $\delta'_{M,N}(\varphi^{p-j} \psi^{q+j} \tau^- E') = 0$ , because  $p-j \geq i+1 > s'$ . This leads to  $q+j \geq r$ , and  $\varphi^{p-j} \psi^{q+j} \tau^- E' = \varphi^{p-j+r} \psi^{q+j-r} \tau^- E'$ . But then  $\delta'_{M,N}(\varphi^{p-j+r} \psi^{q+j-r} \tau^- E') = 0$ , because  $p-j+r > s'$  and  $0 \leq q+j-r < r$ , which is a contradiction.

(v) follows from (iv) and the formula  $\delta_{M,N}(X) = \delta'_{M,N}(\tau^- X)$ .

**Proposition 4.3.** *Let  $M$  and  $N$  be  $A$ -modules with  $M < N$ . Assume that there is a tube  $\mathcal{T}_\mu$  in  $\Gamma_A$  such that  $\delta_{M,N}(\psi^j E) = 0$  and  $\delta_{M,N}(\psi^{j-1} E) > 0$  for some simple regular module  $E$  in  $\mathcal{T}_\mu$  and  $j \geq H(M_\mu \oplus N_\mu) + r$ , where  $r = r_\mu$ . Then there exists an admissible sequence for  $(M, N)$ .*

*Proof.* Applying Lemma 3.5 we get

$$\begin{aligned} \delta_{M,N}(\psi^j E) &= [N, \psi^j E] - [M, \psi^j E] = [N_P \oplus N_\mu, \psi^j E] - [M_P \oplus M_\mu, \psi^j E] \\ &= \langle [N_P], [\psi^j E] \rangle - \langle [M_P], [\psi^j E] \rangle + \ell_E(N_\mu) - \ell_E(M_\mu), \end{aligned}$$

and similarly

$$\begin{aligned} \delta_{M,N}(\psi^{j-r} E) &= \langle [N_P], [\psi^{j-r} E] \rangle - \langle [M_P], [\psi^{j-r} E] \rangle \\ &\quad + \ell_E(N_\mu) - \ell_E(M_\mu). \end{aligned}$$

This leads to

$$\begin{aligned} \delta_{M,N}(\psi^{j-r} E) &= \langle [N_P], [\psi^{j-r} E] - [\psi^j E] \rangle - \langle [M_P], [\psi^{j-r} E] - [\psi^j E] \rangle \\ &= \langle [N_P], -\underline{h} \rangle - \langle [M_P], -\underline{h} \rangle = \partial[N_P] - \partial[M_P] = 0. \end{aligned}$$

Take a maximal number  $k$  such that  $j-r \leq k \leq j-2$  and  $\delta_{M,N}(\psi^k E) = 0$ . Then we have  $\delta_{M,N}(\psi^t E) > 0$  for any  $k < t < j$ . If  $\delta_{M,N}(\varphi^c \psi^d E) > 0$  for all  $-k-1 \leq c \leq 0$  and  $k < d < j$ , then we set  $Y = 0$ ,  $p = -k-2$  and  $q = k+1$ . Assume now that this is not the case. Take a maximal number  $c$  and a number  $d$

such that  $-k - 1 \leq c \leq 0$ ,  $k < d < j$  and  $\delta_{M,N}(\varphi^c \psi^d E) = 0$ . Of course,  $c < 0$ . Applying Lemma 3.7(ii), we get

$$\sum_{c \leq p < 0} \sum_{k < q \leq d} \mu(N, \varphi^c \psi^d E) - \mu(M, \varphi^c \psi^d E) = \delta_{M,N}(\psi^k E) + \delta_{M,N}(\varphi^c \psi^d E) - \delta_{M,N}(\psi^d E) - \delta_{M,N}(\varphi^c \psi^k E) \leq -\delta_{M,N}(\psi^d E) < 0,$$

because  $k < d < j$ . Hence,  $Y = \varphi^p \psi^q E$  is a direct summand of  $M$  for some  $c \leq p < 0$  and  $k < q \leq d$ .

We set  $V = \psi^q E$  and  $W = \varphi^p \psi^j E$ . Applying Lemma 3.7(i) for  $X = \varphi^{p+1} \psi^q E$ ,  $s = -p - 1$ ,  $t = j - q - 1$ , we get a short exact sequence

$$\Omega : 0 \rightarrow V \xrightarrow{\begin{pmatrix} \iota \\ f \end{pmatrix}} \psi^j E \oplus Y \xrightarrow{(f_1, f_2)} W \rightarrow 0,$$

where  $\iota : V \rightarrow \psi^j E$  is a monomorphism. Further,  $\delta_\Omega(X) = 1$  for any  $X \in \mathcal{Y} = \{\varphi^v \psi^w E; p < v \leq 0, q \leq w < j\}$  and  $\delta_\Omega(X) = 0$  for the remaining indecomposable  $A$ -modules  $X$ , because  $t < r$ . Thus,  $\delta_\Omega \leq \delta_{M,N}$ , and so  $M \oplus V \oplus W \leq N \oplus Y \oplus \psi^j E$ . Moreover,

$$0 \leq [N \oplus Y \oplus \psi^j E, \psi^j E] - [M \oplus V \oplus W, \psi^j E] \leq [N, \psi^j E] - [M, \psi^j E] = 0$$

and  $M \oplus V \oplus W \leq_{\text{deg}} N \oplus Y \oplus \psi^j E$ , by Proposition 3 in [9]. Observe that the set of isomorphism classes of kernels of epimorphisms  $M \oplus (V \oplus W) \rightarrow \psi^j E$  is finite. Therefore, there is a nonsplittable short exact sequence

$$\Theta : 0 \rightarrow L \rightarrow M \oplus V \oplus W \xrightarrow{g} \psi^j E \rightarrow 0$$

such that  $L \leq_{\text{deg}} N \oplus Y$ , by Theorem 2.4 in [10]. Of course,  $M = M' \oplus Y$  for some  $A$ -module  $M'$ . We may consider the module  $V$  as a submodule of  $\psi^j E$ .

We claim that for any  $g' \in \text{Hom}_A(Y \oplus V \oplus W, \psi^j E)$  we have  $\text{im } g' \subseteq V$ . Indeed, since

$$E \subset \psi E \subset \dots \subset V = \psi^q E \subset \dots \subset \psi^j E$$

is the unique composition series of  $\psi^j E$  in  $\text{add}(\mathcal{T}_\mu)$ , we get  $\text{im } g' = \psi^{j'} E$  for some  $0 \leq j' \leq j$ . On the other hand, the equality  $\text{im } g' = \psi^{j'} E$  implies that there is an indecomposable direct summand  $\varphi^k \psi^{j'} E$  of  $(Y \oplus V \oplus W)$ , for some  $k \geq 0$ . This leads to  $j' \leq q$ , which proves our claim.

Then the epimorphism  $g$  is of the form

$$g = (g_1, \iota g_2) : M' \oplus (Y \oplus V \oplus W) \rightarrow \psi^j E,$$

for some  $g_1 : M' \rightarrow \psi^j E$  and  $g_2 : Y \oplus V \oplus W \rightarrow V$ .

Consider the pull back of the sequence

$$0 \rightarrow L \rightarrow M' \oplus (Y \oplus V \oplus W) \oplus Y \xrightarrow{\begin{pmatrix} g_1 & \iota g_2 & 0 \\ 0 & 0 & 1_Y \end{pmatrix}} \psi^j E \oplus Y \rightarrow 0$$

under the monomorphism  $\begin{pmatrix} \iota \\ f \end{pmatrix} : V \rightarrow \psi^j E \oplus Y$ . Then we obtain the following commutative diagram with exact rows and columns

$$\begin{array}{ccccccc} & & & 0 & & 0 & \\ & & & \downarrow & & \downarrow & \\ 0 \rightarrow & L & \longrightarrow & Z & \longrightarrow & V & \rightarrow 0 \\ & \parallel & & \downarrow & & \downarrow & \\ 0 \rightarrow & L & \longrightarrow & M' \oplus (Y \oplus V \oplus W) \oplus Y & \longrightarrow & \psi^j E \oplus Y & \rightarrow 0 \\ & & & \downarrow & & \downarrow (f_1, f_2) & \\ & & & W & = & W & \\ & & & \downarrow & & \downarrow & \\ & & & 0 & & 0 & \end{array}$$

Hence we get an exact sequence

$$0 \rightarrow Z \rightarrow M' \oplus (Y \oplus V \oplus W) \oplus Y \xrightarrow{(f_1 g_1, f_1 \iota g_2, f_2)} W \rightarrow 0.$$

We may consider the module  $Z$  as a submodule of  $M' \oplus (Y \oplus V \oplus W) \oplus Y$ . Since  $f_1 \iota g_2 = -f_2 f g_2$ , we obtain a submodule  $Z' = \{(0, m, f g_2(m)); m \in Y \oplus V \oplus W\}$  of  $Z$ . It is easy to see that  $Z' \simeq Y \oplus V \oplus W$ ,  $Z = Z' \oplus Z_1$  for some  $A$ -module  $Z_1$ , and there exists an exact sequence of the form

$$\Psi : 0 \rightarrow Z_1 \rightarrow M' \oplus Y = M \rightarrow W \rightarrow 0.$$

Observe that, for any  $A$ -module  $X$ , we have

$$\begin{aligned} \delta_\Psi(X) &= [Z_1 \oplus W, X] - [M, X] = [Z_1 \oplus W \oplus Y \oplus V, X] - [M \oplus Y \oplus V, X] \\ &= [Z, X] - [M \oplus Y \oplus V, X] \leq [L \oplus V, X] - [M \oplus Y \oplus V, X] \\ &= [L, X] - [M \oplus Y, X] \leq [N \oplus Y, X] - [M \oplus Y, X] = \delta_{M, N}(X), \end{aligned}$$

because  $Z \leq_{\text{ext}} L \oplus V$  and  $L \leq_{\text{deg}} N \oplus Y$ . Thus the sequence  $\Psi$  is admissible for  $(M, N)$ , and this finishes the proof.

**4.4. Proof of Theorem.** Let  $M$  and  $N$  be two  $A$ -modules such that  $M < N$ . We shall show that  $M <_{\text{ext}} N$ . By Lemma 1.2 in [10], we may assume that the relation  $M < N$  is minimal.

We claim that there is an admissible exact sequence for  $(M, N)$ . Suppose that this is not the case. We may assume that  $M$  and  $N$  have no common indecomposable direct summand. If  $M_P = N_P = M_I = N_I = 0$ , then by Theorem 1 in [15], or



Section 3 in [9],  $M = M_R <_{\text{ext}} N_R = N$ . Then by definition of the relation  $\leq_{\text{ext}}$ , there is an admissible sequence for  $(M, N)$ , and we get a contradiction. Hence, up to duality, we may assume that  $M_P \oplus N_P$  is nonzero. Then by Proposition 4.2(i),  $\partial[M_P] = \partial[N_P]$  and applying Lemma 3.8(i) and its dual we obtain

$$[M_P] \geq [N_P] \quad \text{and} \quad [M_I] \geq [N_I].$$

Assume that  $[M_P] = [N_P]$  and let  $V$  be any indecomposable  $A$ -module. If  $V$  is preprojective, then

$$\delta_{M_P, N_P}(V) = [N_P, V] - [M_P, V] = [N, V] - [M, V] \geq 0,$$

otherwise

$$\delta_{M_P, N_P}(V) = \delta'_{M_P, N_P}(\tau^-V) = [\tau^-V, N_P] - [\tau^-V, M_P] = 0 - 0 = 0.$$

This implies that  $M_P < N_P$  and by Corollary 4.2 in [10],  $M_P <_{\text{ext}} N_P$ . Then, by definition of the relation  $\leq_{\text{ext}}$ , there is an admissible sequence for  $(M_P, N_P)$ . Since  $\delta_{M_P, N_P} \leq \delta_{M, N}$ , this sequence is admissible for  $(M, N)$ , again a contradiction.

Hence,  $[M_P] > [N_P]$ , and consequently  $\sum[M_\mu] < \sum[N_\mu]$ , where the summation runs through all  $\mu \in \mathbb{P}^1(K)$ . Applying Lemma 3.8(iii), we conclude that there is  $\mu \in \mathbb{P}^1(K)$  such that  $[M_\mu] < [N_\mu]$ . We set  $r = r_\mu$  and let  $E_1, \dots, E_r$  be all simple regular modules in  $\mathcal{T}_\mu$ . Then by Lemma 3.8(ii) there is a simple regular module  $E$  in  $\mathcal{T}_\mu$  with  $\ell_E(M_\mu) < \ell_E(N_\mu)$ , because  $[X] = \ell_{E_1}(X)[E_1] + \dots + \ell_{E_r}(X)[E_r]$  for any  $X \in \text{add}(\mathcal{T}_\mu)$ . Applying Lemma 3.5, we get

$$\begin{aligned} \delta_{M, N}(\psi^{sr-1}E) &= [N, \psi^{sr-1}E] - [M, \psi^{sr-1}E] = [N_P, \psi^{sr-1}E] \\ &\quad - [M_P, \psi^{sr-1}E] + [N_\mu, \psi^{sr-1}E] - [M_\mu, \psi^{sr-1}E] \\ &= \langle [N_P], [\psi^{sr-1}E] \rangle - \langle [M_P], [\psi^{sr-1}E] \rangle + \ell_E(N_\mu) - \ell_E(M_\mu) \\ &> \langle [N_P], s \cdot \underline{h} \rangle - \langle [M_P], s \cdot \underline{h} \rangle = -s\partial[N_P] + s\partial[M_P] = 0, \end{aligned}$$

for any integer  $s$  satisfying  $sr \geq H(M_\mu \oplus N_\mu)$ . Hence  $\delta_{M, N}(X) > 0$  for infinitely many  $X$  in  $\mathcal{T}_\mu$ .

Applying Proposition 4.2(v), we infer that there are a simple regular module  $F$  in  $\mathcal{T}_\mu$  and a number  $j > H(M_\mu \oplus N_\mu) + r$  such that  $\delta_{M, N}(\psi^j F) = 0$  and either  $\delta_{M, N}(\psi^{j-1} F) > 0$  or  $\delta_{M, N}(\varphi^- \psi^j F) > 0$ . Let  $F' = \tau^{-j-1} F$ . Then either  $\delta_{M, N}(\psi^j F) = 0 < \delta_{M, N}(\psi^{j-1} F)$  or  $\delta'_{M, N}(\varphi^j F') = 0 < \delta'_{M, N}(\varphi^{j-1} F')$ . Then by Proposition 4.3 or its dual there exists an admissible exact sequence for  $(M, N)$ . This proves our claim.

Take an admissible sequence  $0 \rightarrow L_1 \rightarrow M' \rightarrow L_2 \rightarrow 0$  for  $(M, N)$ . This implies that  $M = M' \oplus V$  for some  $A$ -module  $V$  and we obtain  $M <_{\text{ext}} L_1 \oplus L_2 \oplus V \leq N$ . Since the relation  $M < N$  is minimal, then  $N = L_1 \oplus L_2 \oplus V$ . This leads to  $M <_{\text{ext}} N$ , and completes the proof.

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Grzegorz Zwara  
 Faculty of Mathematics and Informatics  
 Nicholas Copernicus University  
 Chopina 12/18, 87-100 Toruń  
 Poland  
 e-mail: gzwara@mat.uni.torun.pl

(Received: January 31, 1997)