Existence of Nongeometric Pro-\(p\) Galois Sections of Hyperbolic Curves

by

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Abstract

We construct a nongeometric pro-\(p\) Galois section of a proper hyperbolic curve over a number field, as well as over a \(p\)-adic local field. This yields a negative answer to the pro-\(p\) version of the anabelian Grothendieck Section Conjecture. We also observe that there exists a proper hyperbolic curve over a number field which admits infinitely many conjugacy classes of pro-\(p\) Galois sections.

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Introduction

Generalities on the Section Conjecture

Let \(\text{Primes}\) be the set of all prime numbers, \(\Sigma\) a nonempty subset of \(\text{Primes}\), \(k\) a field of characteristic 0, \(\overline{k}\) an algebraic closure of \(k\), \(X\) a scheme which is geometrically connected and of finite type over \(k\), and \(\overline{x}: \text{Spec } \overline{k} \to X\) a geometric point of \(X\). By abuse of notation, we shall also write \(\overline{x}\) for the geometric points \(X \otimes_k \overline{k}\) and Spec \(k\) determined by the geometric point \(x\) of \(X\). Moreover, we shall write \(\pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma\) for the maximal pro-\(\Sigma\) quotient of \(\pi_1(X \otimes_k \overline{k}, \overline{x})\), i.e., the pro-\(\Sigma\) geometric fundamental group of \(X\), and \(\pi_1(X, \overline{x})^\Sigma\) for the quotient of \(\pi_1(X, \overline{x})\) by the kernel of the natural surjection \(\pi_1(X \otimes_k \overline{k}, \overline{x}) \to \pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma\), i.e., the geometrically pro-\(\Sigma\) fundamental group of \(X\). Then the natural isomorphism \(\text{Gal}(\overline{k}/k) \simeq \pi_1(\text{Spec } k, \overline{x})\) (cf. [H Exposé V, Proposition 8.1]) and the natural morphisms \(X \otimes_k \overline{k} \to X\), \(X \to \text{Spec } k\) determine a commutative diagram of profinite...
groups

\[1 \rightarrow \pi_1(X \otimes_k \overline{k}, \overline{x}) \rightarrow \pi_1(X, \overline{x}) \rightarrow \text{Gal}(\overline{k}/k) \rightarrow 1\]

\[1 \rightarrow \pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma \rightarrow \pi_1(X, \overline{x})^\Sigma \rightarrow \text{Gal}(\overline{k}/k) \rightarrow 1\]

where the horizontal sequences are exact (cf. [4, Exposé IX, Théorème 6.1]), and the vertical arrows are surjective. We shall refer to a (continuous) section of the right-hand lower horizontal arrow \(\pi_1(X, \overline{x})^\Sigma \rightarrow \text{Gal}(\overline{k}/k)\) in the above diagram as a pro-\(\Sigma\) Galois section of \(X\) and to the \(\pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma\)-conjugacy class of a pro-\(\Sigma\) Galois section as the conjugacy class of the pro-\(\Sigma\) Galois section. Then it follows from the definition of the above commutative diagram that a \(k\)-rational point of \(X\) (i.e., a section of the structure morphism \(X \rightarrow \text{Spec} k\)) determines—up to composition with an inner automorphism arising from \(\pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma\)—a pro-\(\Sigma\) Galois section of \(X\), i.e., we have a natural map from the set \(X(k)\) of \(k\)-rational points of \(X\) to the set \(\text{GS}^\Sigma(X/k)\) of conjugacy classes of pro-\(\Sigma\) Galois sections of \(X\). Now the anabelian Grothendieck Section Conjecture may be stated as follows (cf. [3]):

\((\text{SC})\): If \(k\) is a finitely generated extension of the field of rational numbers, and \(X\) is a proper hyperbolic curve over \(k\), then the map \(X(k) \rightarrow \text{GS}^{\text{Primes}}(X/k)\) is bijective.

Note that one may also formulate a version of (SC) for affine hyperbolic curves.

Grothendieck proved the injectivity of the map \(X(k) \rightarrow \text{GS}^{\text{Primes}}(X/k)\) by means of the well-known theorem of Mordell–Weil (cf. e.g., [11, Theorem 2.1]). However, the surjectivity of the map remains unsolved.

**Pro-\(p\) version of the Section Conjecture**

Although the conjecture (SC) remains unsolved, several results related to it have been obtained by various authors:

(I) An archimedean analogue of (SC), i.e., an analogue for hyperbolic curves over the field of real numbers (cf. [10, §3]).

(II) The injectivity portion of the pro-\(p\) version of (SC)—i.e., the injectivity of the natural map \(X(k) \rightarrow \text{GS}^{(p)}(X/k)\)—in the case where \(k\) is a generalized sub-\(p\)-adic field, e.g., \(k\) is either a number field or a \(p\)-adic local field (cf. [9, Theorem C and its proof]; [10, Theorem 4.12 and Remark following Theorem 4.12]).

(III) A birational analogue of (SC) for hyperbolic curves over \(p\)-adic local fields (cf. [7, Proposition 2.4, 2]), as well as its pro-\(p\) version (cf. [13, Theorem A]).
The results (I)–(III) might suggest the validity of the assertion obtained by replacing the expression “finitely generated extension of the field of rational numbers” in (SC) by “nonarchimedean local field”. Moreover, the results (II) and (III), together with the fact that not only the anabelian Grothendieck Conjecture but also its pro-$p$ version holds (cf. [9, Theorem A]), might suggest the validity of the assertion obtained by replacing “Primes” in (SC) by “{$p$}” for some prime number $p$. That is to say, one is led to expect the validity of the following pro-$p$ Section Conjecture:

(pSC): Let $p$ be a prime number. If $k$ is either a number field (i.e., a finite extension of the field of rational numbers) or a $p$-adic local field (i.e., a finite extension of the field of $p$-adic rational numbers), and $X$ is a proper hyperbolic curve over $k$, then the natural map $X(k) \to \text{GS}^{(p)}(X/k)$ is bijective, or, equivalently—by the above result (II)—the natural map $X(k) \to \text{GS}^{(p)}(X/k)$ is surjective.

Main results

In the present paper, we construct a counter-example to the above conjecture (pSC). The first main result of the present paper is as follows (cf. §4):

Theorem A (Existence of nongeometric pro-$p$ Galois sections). Let $\mathbb{Q}$ be the field of rational numbers, $\overline{\mathbb{Q}}$ an algebraic closure of $\mathbb{Q}$, $p$ an odd regular prime number, $\zeta_p \in \overline{\mathbb{Q}}$ a primitive $p$-th root of unity, $\mathbb{Q}^{un-p} \subseteq \overline{\mathbb{Q}}$ the maximal Galois extension of $\mathbb{Q}(\zeta_p)$ that is pro-$p$ and unramified over every nonarchimedean prime of $\mathbb{Q}(\zeta_p)$ whose residue characteristic is $\neq p$, $k_{NF} \subseteq \mathbb{Q}^{un-p}$ a finite extension of $\mathbb{Q}(\zeta_p)$ contained in $\mathbb{Q}^{un-p}$, $T_{NF} \overset{\text{def}}{=} \text{Spec} k_{NF}[t^{\pm 1}, 1/(t - 1)]$ (where $t$ is an indeterminate), $U_{NF} \to T_{NF}$ a connected finite étale covering of $T_{NF}$, and $X_{NF}$ the (uniquely determined) smooth compactification of $U_{NF}$ over (a finite extension of) $k_{NF}$. Suppose that the following four conditions are satisfied:

(A) $X_{NF}$ is of genus $\geq 2$.
(B) $X_{NF}(k_{NF}) \neq \emptyset$. (In particular, $X_{NF}$, hence also $U_{NF}$, is geometrically connected over $k_{NF}$; thus, $X_{NF}$ and $U_{NF}$ are hyperbolic curves over $k_{NF}$ [cf. condition (A)].)
(C) The finite étale covering $U_{NF} \otimes_{k_{NF}} \mathbb{Q} \to T_{NF} \otimes_{k_{NF}} \mathbb{Q}$ is Galois and of degree a power of $p$.
(D) The hyperbolic curve $U_{NF}$ (cf. condition (B)), hence also $X_{NF}$, has good reduction at every nonarchimedean prime of $k_{NF}$ whose residue characteristic is $\neq p$. 


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(For example, if \( p > 3 \), then the number field \( k_{\text{NF}} = \mathbb{Q}(\zeta_p) \) and the connected finite étale covering

\[
U_{\text{NF}} = \text{Spec} \mathbb{Q}(\zeta_p)[x_1^{\pm 1}, x_2^{\pm 1}]/(x_1^p + x_2^p - 1) \to T_{\text{NF}},
\]

where \( x_1 \) and \( x_2 \) are indeterminates, given by \( t \mapsto x_1^p \) satisfy the above four conditions.) Then there exists a finite extension \( k'_{\text{NF}} \subseteq \mathbb{Q}^{\text{un}}_p \) of \( k_{\text{NF}} \) contained in \( \mathbb{Q}^{\text{un}}_p \) which satisfies the following condition:

Let \( \boxempty \) be either \( \text{NF} \) or \( \text{LF} \), \( k''_{\text{NF}} \subseteq \mathbb{Q}^{\text{un}}_p \) a finite extension of \( k'_{\text{NF}} \) contained in \( \mathbb{Q}^{\text{un}}_p \), and \( k''_{\text{LF}} \) the completion of \( k''_{\text{NF}} \) at a nonarchimedean prime of \( k''_{\text{NF}} \) of residue characteristic \( p \). Then there exist \textit{nongeometric} (cf. Definition 1.1(iii) and Remark 1.1.3) \textit{pro-}p Galois sections (cf. Definition 1.1(i)) of the hyperbolic curves \( X_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\boxempty} \) and \( U_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\boxempty} \) over \( k''_{\boxempty} \).

If one’s primary interest lies in \textit{diophantine geometry}, one may take the point of view that the \textit{finiteness} of the set \( \text{GS}_\Sigma(X/k) \) is more important than the \textit{bijectivity} of the natural map \( X(k) \to \text{GS}_\Sigma(X/k) \), where \( \Sigma \) is a nonempty subset of \( \mathcal{P} \text{rimes} \). Indeed, for example, even if the natural injection (cf. the above result (II)) \( X(k) \hookrightarrow \text{GS}_\Sigma(X/k) \) in the case where \( X \) is a \textit{proper} hyperbolic curve over a number field \( k \) is not bijective, the \textit{finiteness} of \( \text{GS}_\Sigma(X/k) \) already implies the \textit{finiteness} of \( X(k) \), i.e., an \textit{affirmative answer} to the well-known conjecture of Mordell, which is now a theorem of Faltings.

On the other hand, it follows from the following result, which is the second main result of the present paper, that if one only considers the case where \( \Sigma = \{ p \} \), then this approach to the conjecture of Mordell fails (cf. [4]):

**Theorem B** (Existence of hyperbolic curves over number fields that admit infinitely many \textit{pro-}p Galois sections). \textit{We continue to use the notation of Theorem A. Moreover, we take \( p > 7 \) and}

\[
U_{\text{NF}} \overset{\text{def}}{=} \text{Spec} k_{\text{NF}}[x_1^{\pm 1}, x_2^{\pm 1}]/(x_1^p + x_2^p - 1),
\]

where \( x_1 \) and \( x_2 \) are indeterminates. Then there are \textit{infinitely many} conjugacy classes of \textit{pro-}p Galois sections (cf. Definition 1.1(i)) of the hyperbolic curve \( X_{\text{NF}} \) over \( k_{\text{NF}} \).

The present paper is organized as follows: In [1] we discuss the notion of a \textit{pro-}\( \Sigma \) Galois section. In [2] we consider the \textit{pro-}p outer Galois representations associated to certain hyperbolic curves obtained as finite étale coverings of tripods. In [3] we consider \textit{pro-}p Galois sections of certain hyperbolic curves obtained as finite étale coverings of tripods. In [4] we prove Theorems A and B.
§0. Notations and conventions

**Numbers:** The notation \( \mathbb{P} \) will be used to denote the set of all prime numbers. The notation \( \mathbb{Z} \) will be used to denote the set, group, or ring of rational integers. The notation \( \mathbb{Q} \) will be used to denote the set, group, or field of rational numbers. If \( p \) is a prime number, then the notation \( \mathbb{Z}_p \) (respectively, \( \mathbb{Q}_p \)) will be used to denote the \( p \)-adic completion of \( \mathbb{Z} \) (respectively, \( \mathbb{Q} \)).

A finite extension of \( \mathbb{Q} \) will be referred to as a *number field*. If \( p \) is a prime number, then a finite extension of \( \mathbb{Q}_p \) will be referred to as a *\( p \)-adic local field*.

**Profinite groups:** If \( G \) is a profinite group, then we shall write \( \text{Aut}(G) \) for the group of (continuous) automorphisms of \( G \), \( \text{Inn}(G) \subseteq \text{Aut}(G) \) for the group of inner automorphisms of \( G \), and \( \text{Out}(G) \overset{\text{def}}{=} \text{Aut}(G)/\text{Inn}(G) \).

If, moreover, \( G \) is *topologically finitely generated*, then one verifies easily that the topology of \( G \) admits a basis of *characteristic open subgroups*, which thus induces a *profinite topology* on the group \( \text{Aut}(G) \), hence also a *profinite topology* on \( \text{Out}(G) \).

If \( G \) is a profinite group, and \( H \subseteq G \) is a closed subgroup of \( G \), then we shall write

\[ [H,H] \subseteq G \]

for the closed subgroup of \( G \) topologically generated by \( h_1h_2h_1^{-1}h_2^{-1} \in G \), where \( h_1, h_2 \in H \). Note that if \( H \) is *normal* in \( G \), then it follows from the fact that \( [H,H] \subseteq H \) is a characteristic subgroup of \( H \) that the closed subgroup \( [H,H] \) is normal in \( G \). Moreover, we shall write

\[ G^{ab} \overset{\text{def}}{=} G/[G,G] \]

for the *abelianization* of \( G \).

**Curves:** We shall say that a scheme \( X \) over a field \( k \) is a *smooth curve over* \( k \) if there exist a scheme \( Y \) which is of dimension 1, smooth, proper, and geometrically connected over \( k \) and a closed subscheme \( D \subseteq Y \) which is finite and étale over \( k \) such that \( X \) is isomorphic to the complement of \( D \) in \( Y \) over \( k \). If, moreover, a geometric fiber of \( Y \) over \( k \) is of genus \( g \), and the finite étale covering \( D \) over \( k \) is of degree \( r \), then we shall say that \( X \) is a *smooth curve of type* \((g,r)\) *over* \( k \).

We shall say that a scheme \( X \) over a field \( k \) is a *hyperbolic curve* (respectively, *tripod*) *over* \( k \) if there exists a pair \((g,r)\) of nonnegative integers such that \( 2g - 2 + r > 0 \) (respectively, \((g,r) = (0,3)\)), and, moreover, \( X \) is a smooth curve of type \((g,r)\) *over* \( k \).
§1. Galois sections and their geometricity

Throughout the present paper, fix an odd prime number $p$ and an algebraic closure $\overline{\mathbb{Q}}$ of $\mathbb{Q}$; moreover, let $\zeta_p \in \overline{\mathbb{Q}}$ be a primitive $p$-th root of unity. Write $Q^{un,p} \subseteq \overline{\mathbb{Q}}$ for the maximal Galois extension of $\mathbb{Q}(\zeta_p)$ that is pro-$p$ and unramified over every nonarchimedean prime of $\mathbb{Q}(\zeta_p)$ whose residue characteristic is $\neq p$.

In the present section, we discuss the notion of a pro-$\Sigma$ Galois section. Let $k$ be a field of characteristic 0 and $\overline{k}$ an algebraic closure of $k$ containing $\mathbb{Q}$.

**Definition 1.1.** Let $\Sigma$ be a nonempty subset of $\text{Primes}$ (where we refer to “Numbers” in §0 concerning the set $\text{Primes}$), $X$ a scheme which is \textit{geometrically connected} and of finite type over $k$, and $\overline{x}: \text{Spec} \overline{k} \rightarrow X$ a geometric point of $X$. By abuse of notation, we shall also write $\overline{x}$ for the geometric points of $X \otimes_k \overline{k}$ and $\text{Spec} \overline{k}$ determined by the geometric point $\overline{x}$ of $X$.

(i) Write

$$\pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma$$

for the maximal pro-$\Sigma$ quotient of $\pi_1(X \otimes_k \overline{k}, \overline{x})$, i.e., the pro-$\Sigma$ geometric fundamental group of $X$, and

$$\pi_1(X, \overline{x})^\Sigma$$

for the quotient of $\pi_1(X, \overline{x})$ by the kernel of the natural surjection

$$\pi_1(X \otimes_k \overline{k}, \overline{x}) \twoheadrightarrow \pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma,$$

i.e., the \textit{geometrically pro-$\Sigma$ fundamental group} of $X$. Then the natural isomorphism $\text{Gal} (\overline{k}/k) \simeq \pi_1(\text{Spec} k, \overline{x})$ (cf. [4, Exposé V, Proposition 8.1]) and the natural morphisms $X \otimes_k \overline{k} \rightarrow X$, $X \rightarrow \text{Spec} k$ determine a commutative diagram of profinite groups

$$\begin{array}{cccccc}
1 & \longrightarrow & \pi_1(X \otimes_k \overline{k}, \overline{x}) & \longrightarrow & \pi_1(X, \overline{x}) & \longrightarrow & \text{Gal}(\overline{k}/k) & \longrightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \longrightarrow & \pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma & \longrightarrow & \pi_1(X, \overline{x})^\Sigma & \longrightarrow & \text{Gal}(\overline{k}/k) & \longrightarrow & 1
\end{array}$$

where the horizontal sequences are \textit{exact} (cf. [4] Exposé IX, Théorème 6.1)), and the vertical arrows are \textit{surjective}. We shall refer to a (continuous) section of the right-hand lower horizontal arrow $\pi_1(X, \overline{x})^\Sigma \rightarrow \text{Gal}(\overline{k}/k)$ in the above diagram as a \textit{pro-$\Sigma$ Galois section} of $X$. Moreover, we shall refer to the $\pi_1(X \otimes_k \overline{k}, \overline{x})^\Sigma$-conjugacy class of a pro-$\Sigma$ Galois section $s: \text{Gal}(\overline{k}/k) \rightarrow \pi_1(X, \overline{x})^\Sigma$ of $X$ as the \textit{conjugacy class} of $s$. 
(ii) It follows from the definition of the commutative diagram in (i) that a k-rational point of $X$ (i.e., a section of the structure morphism $X \to \text{Spec } k$) gives rise to the conjugacy class of a pro-$\Sigma$ Galois section of $X$. We shall say that a pro-$\Sigma$ Galois section $s: \text{Gal}(\overline{k}/k) \to \pi_1(X, x)^{\Sigma}$ of $X$ arises from a $k$-rational point $x \in X(k)$ of $X$ if the conjugacy class of $s$ coincides with the conjugacy class of a pro-$\Sigma$ Galois section determined by $x$.

(iii) Suppose that $X$ is a hyperbolic curve over $k$ (where we refer to “Curves” in §0 concerning the term “hyperbolic curve”). Then we shall say that a pro-$\Sigma$ Galois section $s: \text{Gal}(\overline{k}/k) \to \pi_1(X, x)^{\Sigma}$ of $X$ is geometric if its image is contained in a decomposition subgroup of $\pi_1(X, x)^{\Sigma}$ associated to a $k$-rational point of the (uniquely determined) smooth compactification of $X$ over $k$.

**Remark 1.1.1.** Let $X$ and $Y$ be schemes which are geometrically connected and of finite type over $k$ and $f: Y \to X$ a morphism over $k$. If a pro-$\Sigma$ Galois section $s$ of $Y$ arises from a $k$-rational point of $Y$, then it follows from the various definitions involved that the pro-$\Sigma$ Galois section of $X$ determined by $s$ and $f$ arises from a $k$-rational point of $X$. If, moreover, $X$ and $Y$ are hyperbolic curves over $k$, and a pro-$\Sigma$ Galois section $s$ of $Y$ is geometric, then it follows from the various definitions involved that the pro-$\Sigma$ Galois section of $X$ determined by $s$ and $f$ is geometric.

**Remark 1.1.2.** Suppose that $X$ is a hyperbolic curve over $k$. Then it follows from the various definitions involved that the geometricity of a pro-$\Sigma$ Galois section of $X$ depends only on its conjugacy class.

**Remark 1.1.3.** Suppose that $X$ is a hyperbolic curve over $k$. Let $s$ be a pro-$\Sigma$ Galois section of $X$. Then it follows from the various definitions involved that if $s$ arises from a $k$-rational point of $X$, then $s$ is geometric. If, moreover, the hyperbolic curve $X$ is proper, then the various definitions involved imply that $s$ is geometric if and only if $s$ arises from a $k$-rational point of $X$.

**Remark 1.1.4.** Suppose that $X$ is an abelian variety over $k$. Then it follows from the various definitions involved that the following hold:

(i) The pro-$\Sigma$ geometric fundamental group $\pi_1(X \otimes_k \overline{k}, x)^{\Sigma}$ is naturally isomorphic to the pro-$\Sigma$ Tate module of $X$

$$T_\Sigma(X) \overset{\text{def}}{=} \lim \pi(X(\overline{k})[n]),$$

where $X(\overline{k})[n]$ is the kernel of the endomorphism of the abelian group $X(\overline{k})$ given by multiplication by $n$, and the projective limit is over all positive integers $n$ whose prime divisors are in $\Sigma$. Moreover, the geometrically pro-$\Sigma$
fundamental group $\pi_1(X, \overline{x})$ is naturally isomorphic to the semi-direct product $T_\Sigma(X) \rtimes \text{Gal}(\overline{k}/k)$.

(ii) If $s$ is the conjugacy class of a pro-$\Sigma$ Galois section of $X$, then by considering the difference of $s$ and the conjugacy class of a pro-$\Sigma$ Galois section of $X$ determined by the identity section of $X$, we obtain a cohomology class in $H^1(k, T_\Sigma(X))$. Thus, we obtain a map from the set of conjugacy classes of pro-$\Sigma$ Galois sections of $X$ to the Galois cohomology group $H^1(k, T_\Sigma(X))$. Then this map is bijective.

Moreover, an argument similar to the argument in the proof of [11, Theorem 2.1] (cf. also [11, Claim 2.2]) shows that the following holds:

(iii) The natural exact sequence of $\text{Gal}(\overline{k}/k)$-modules

$$0 \to X(\overline{k})[n] \to X(\overline{k}) \to X(\overline{k}) \to 0$$

determines a homomorphism $X(k) \to H^1(k, X(\overline{k})[n])$; thus, we obtain a homomorphism

$$X(k) \to H^1(k, T_\Sigma(X)).$$

We shall refer to it as the pro-$\Sigma$ Kummer homomorphism for $X$. Then, under the bijection in (ii), the natural map from $X(k)$ to the set of conjugacy classes of pro-$\Sigma$ Galois sections of $X$ obtained by sending $x \in X(k)$ to the conjugacy class of a pro-$\Sigma$ Galois section of $X$ arising from $x \in X(k)$ coincides with the above pro-$\Sigma$ Kummer homomorphism for $X$.

§2. Pro-$p$ outer Galois representations associated to certain coverings of tripods

In the present section, we consider the pro-$p$ outer Galois representations associated to certain hyperbolic curves obtained as finite étale coverings of tripods (where we refer to “Curves” in §0 concerning the term “tripod”). Let $k_{NF} \subseteq \overline{Q}$ be a number field (where we refer to “Numbers” in §0 concerning the term “number field”). Write

$$G_{NF} \overset{\text{def}}{=} \text{Gal}(\overline{Q}/k_{NF})$$

for the absolute Galois group of $k_{NF}$ and

$$T_{NF} \overset{\text{def}}{=} \text{Spec} k_{NF}[t^{\pm 1}, 1/(t - 1)],$$

where $t$ is an indeterminate, i.e., $T_{NF}$ is a split tripod $\mathbb{P}^1_{k_{NF}} \setminus \{0, 1, \infty\}$ over $k_{NF}$. Let

$$U_{NF} \to T_{NF}$$
be a connected finite étale covering of $T_{\mathbb{N}F}$,

$$(U_{\mathbb{N}F} \subseteq) X_{\mathbb{N}F}$$

the (uniquely determined) smooth compactification of $U_{\mathbb{N}F}$ over (a finite extension of) $k_{\mathbb{N}F}$, and

$\overline{\pi}: \text{Spec } \overline{\mathbb{Q}} \to U_{\mathbb{N}F}$

a geometric point of $U_{\mathbb{N}F}$. Suppose that the following four conditions are satisfied:

(A) $X_{\mathbb{N}F}$ is of genus $\geq 2$.

(B) $X_{\mathbb{N}F}$ has a $k_{\mathbb{N}F}$-rational point $O \in X_{\mathbb{N}F}(k_{\mathbb{N}F})$. (In particular, $X_{\mathbb{N}F}$, hence also $U_{\mathbb{N}F}$, is geometrically connected over $k_{\mathbb{N}F}$; thus, $X_{\mathbb{N}F}$ and $U_{\mathbb{N}F}$ are hyperbolic curves over $k_{\mathbb{N}F}$ [cf. condition (A)].)

(C) The finite étale covering $U_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}} \to T_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}$ is Galois and of degree a power of $p$.

(D) The hyperbolic curve $U_{\mathbb{N}F}$ (cf. condition (B)), hence also $X_{\mathbb{N}F}$, has good reduction at every nonarchimedean prime of $k_{\mathbb{N}F}$ whose residue characteristic is $\neq p$.

We shall write $J_{\mathbb{N}F}$ for the Jacobian variety of $X_{\mathbb{N}F}$ (cf. condition (A)) and

$\iota_O: X_{\mathbb{N}F} \to J_{\mathbb{N}F}$

for the closed immersion determined by $O \in X_{\mathbb{N}F}(k_{\mathbb{N}F})$ (cf. condition (B)); moreover, write

$\Delta_{T_{\mathbb{N}F}}$ (respectively, $\Delta_{U_{\mathbb{N}F}}$, $\Delta_{X_{\mathbb{N}F}}$, $\Delta_{J_{\mathbb{N}F}}$)

for the maximal pro-$p$ quotient of the geometric fundamental group $\pi_1(T_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi})$ (respectively, $\pi_1(U_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi})$; $\pi_1(X_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi})$; $\pi_1(J_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi})$)

(here, by abuse of notation, we write $\overline{\pi}$ for the geometric points of $T_{\mathbb{N}F}$, $X_{\mathbb{N}F}$, and $J_{\mathbb{N}F}$ determined by the geometric point $\overline{\pi}$ of $U_{\mathbb{N}F}$), and

$\Pi_{T_{\mathbb{N}F}}$ (respectively, $\Pi_{U_{\mathbb{N}F}}$, $\Pi_{X_{\mathbb{N}F}}$, $\Pi_{J_{\mathbb{N}F}}$)

for the quotient of the fundamental group $\pi_1(T_{\mathbb{N}F}, \pi)$ (respectively, $\pi_1(U_{\mathbb{N}F}, \pi)$; $\pi_1(X_{\mathbb{N}F}, \pi)$; $\pi_1(J_{\mathbb{N}F}, \pi)$) by the kernel of the natural surjection $\pi_1(T_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi}) \twoheadrightarrow \Delta_{T_{\mathbb{N}F}}$ (respectively, $\pi_1(U_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi}) \twoheadrightarrow \Delta_{U_{\mathbb{N}F}}$; $\pi_1(X_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi}) \twoheadrightarrow \Delta_{X_{\mathbb{N}F}}$; $\pi_1(J_{\mathbb{N}F} \otimes_{k_{\mathbb{N}F}} \overline{\mathbb{Q}}, \overline{\pi}) \twoheadrightarrow \Delta_{J_{\mathbb{N}F}}$). Then the finite étale covering $U_{\mathbb{N}F} \hookrightarrow X_{\mathbb{N}F}$, and the closed immersion $\iota_O: X_{\mathbb{N}F} \hookrightarrow J_{\mathbb{N}F}$ induce a commutative diagram of profinite groups
where the horizontal sequences are exact, and an isomorphism of profinite groups

\[ \Pi_{X_{NF}}/[\Delta_{X_{NF}}, \Delta_{X_{NF}}] \sim \Pi_{J_{NF}} \]

(where we refer to “Profinite groups” in §0 concerning the notation \([-,-]\)). Finally, we shall write

\[ \rho_{T_{NF}} : G_{NF} \rightarrow \text{Out}(\Delta_{T_{NF}}), \]
\[ \rho_{U_{NF}} : G_{NF} \rightarrow \text{Out}(\Delta_{U_{NF}}), \]
\[ \rho_{X_{NF}} : G_{NF} \rightarrow \text{Out}(\Delta_{X_{NF}}), \]
\[ \rho_{J_{NF}} : G_{NF} \rightarrow \text{Aut}(\Delta_{J_{NF}}) \]

(where we refer to “Profinite groups” in §0 concerning “Out” and “Aut”) for the homomorphisms determined by the respective horizontal sequences in the above commutative diagram, and

\[ G_{NF}[T] \text{ (respectively, } G_{NF}[U]; G_{NF}[X]; G_{NF}[J]) \]

for the quotient of \( G_{NF} \) obtained as the image of \( \rho_{T_{NF}} \) (respectively, \( \rho_{U_{NF}}; \rho_{X_{NF}}; \rho_{J_{NF}} \)).

**Lemma 2.1** (Quotients determined by the pro-\( p \) outer Galois representations associated to certain coverings of tripods).

(i) If \( \zeta_p \in k_{NF} \), then the quotient \( G_{NF}[T] \) of \( G_{NF} \) is pro-\( p \).
(ii) If \( k_{NF} \subseteq \mathbb{Q}^{an-p} \), then the natural surjections \( G_{NF} \twoheadrightarrow G_{NF}[T], G_{NF} \twoheadrightarrow G_{NF}[U], \)
\( \quad G_{NF} \twoheadrightarrow G_{NF}[X], \) and \( G_{NF} \twoheadrightarrow G_{NF}[J] \) factor through the natural surjection \( G_{NF} \twoheadrightarrow \text{Gal}(\mathbb{Q}^{an-p}/k_{NF}) \).

**Proof.** First, we verify assertion (i). Since \( \zeta_p \in k_{NF} \), one may easily verify that the image of the composite

\[ G_{NF} \xrightarrow{\rho_{T_{NF}}} \text{Out}(\Delta_{T_{NF}}) \rightarrow \text{Aut}(\Delta_{T_{NF}}^{ab} \otimes_{\mathbb{Z}_p} \mathbb{Z}/p\mathbb{Z}) \]
(where we refer to “Profinite groups” in §0 concerning the notation \((-)^{ab}\) is trivial. Therefore, assertion (i) follows from the fact that the kernel of the natural homomorphism \(\text{Out}(\Delta_{T_{NF}}) \rightarrow \text{Aut}(\Delta^{ab}_{T_{NF}} \otimes \mathbb{Z}_p)\) is pro-\(p\) (cf. [1] Theorem 6)).

Next, we verify assertion (ii). It follows from [5, Theorem C(i)] that we have natural surjections

\[ G_{NF} \twoheadrightarrow G_{NF}[U] \twoheadrightarrow G_{NF}[T]; \]

moreover, since the natural open (respectively, closed) immersion \(U_{NF} \hookrightarrow X_{NF}\) (respectively, \(\iota_O : X_{NF} \hookrightarrow J_{NF}\)) induces a surjection \(\Delta_{U_{NF}} \rightarrow \Delta_{X_{NF}}\) (respectively, \(\Delta_{X_{NF}} \twoheadrightarrow \Delta_{J_{NF}}\)), it follows that we have natural surjections

\[ G_{NF} \twoheadrightarrow G_{NF}[U] \twoheadrightarrow G_{NF}[X] \twoheadrightarrow G_{NF}[J]. \]

Thus, to prove (ii), it suffices to verify that the natural surjection \(G_{NF} \rightarrow G_{NF}[U]\) factors through the natural surjection \(G_{NF} \rightarrow \text{Gal}(\mathbb{Q}^{un-p}/k_{NF})\). Moreover, since one may easily verify that the kernel of \(\rho_{U_{NF}}\) is contained in the open subgroup \(\text{Gal}(\overline{\mathbb{Q}}/k_{NF}(\zeta_p))\) of \(G_{NF}\)—to verify the desired factorization of the natural surjection \(G_{NF} \rightarrow G_{NF}[U]\)—we may assume without loss of generality that \(\zeta_p \in k_{NF}\). Furthermore, since the extension field of \(k_{NF}\) corresponding to the quotient \(G_{NF} \rightarrow G_{NF}[U]\) is unramified over every nonarchimedean prime of \(k_{NF}\) whose residue characteristic is \(\neq p\) (cf. condition (D), together with the theory in [4, Exposé XIII])—to verify the desired factorization of the natural surjection \(G_{NF} \rightarrow G_{NF}[U]\)—it suffices to verify that it factors through a pro-\(p\) quotient of \(G_{NF}\). On the other hand, if we write

\[ \rho_{U_{NF}/T_{NF}} : \Delta_{T_{NF}}/\Delta_{U_{NF}} \rightarrow \text{Out}(\Delta_{U_{NF}}) \]

for the homomorphism arising from the exact sequence of profinite groups

\[ 1 \rightarrow \Delta_{U_{NF}} \rightarrow \Delta_{T_{NF}} \rightarrow \Delta_{T_{NF}}/\Delta_{U_{NF}} \rightarrow 1 \]

(cf. condition (C)), then it follows immediately that we have inclusions

\[ \rho_{U_{NF}}(\text{Ker}(\rho_{T_{NF}})) \subseteq \text{Im}(\rho_{U_{NF}/T_{NF}}) \subseteq \text{Out}(\Delta_{U_{NF}}); \]

in particular, \(\rho_{U_{NF}}(\text{Ker}(\rho_{T_{NF}}))\) is a \(p\)-group. Thus, the fact that the natural surjection \(G_{NF} \rightarrow G_{NF}[U]\) factors through a pro-\(p\) quotient of \(G_{NF}\) follows immediately from assertion (i). This completes the proof of (ii).

\[\square\]

§3. Pro-\(p\) Galois sections of certain coverings of tripods

In the present section, we consider pro-\(p\) Galois sections of certain hyperbolic curves obtained as finite étale coverings of tripods. The purpose of this section is to show that a certain pro-\(p\) Galois section of the Jacobian variety of a certain
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hyperbolic curve arises from a pro-p Galois section of the original hyperbolic curve (cf. Theorem 3.5 below). The main results of the present paper—i.e., Theorems A and B in the introduction—will be derived from this result (cf. §4).

We maintain the notation of the preceding section. Suppose, moreover, that

\[ \mathbb{Q}(\zeta_p) \subseteq k_{\text{NF}} \subseteq \mathbb{Q}^{\text{un-p}}. \]

Let \( k_{\text{LF}} \) be the completion of \( k_{\text{NF}} \) at a nonarchimedean prime of residue characteristic \( p \), and \( \overline{k}_{\text{LF}} \) an algebraic closure of \( k_{\text{LF}} \) containing \( \overline{\mathbb{Q}} \); write

\[ G_{\text{LF}} \overset{\text{def}}{=} \text{Gal}(\overline{k}_{\text{LF}}/k_{\text{LF}}) \]

for the absolute Galois group of \( k_{\text{LF}} \). Then we have a proper hyperbolic curve

\[ X_{\text{LF}} \overset{\text{def}}{=} X_{\text{NF}} \otimes_{k_{\text{NF}}} k_{\text{LF}}, \]

an affine hyperbolic curve

\[ U_{\text{LF}} \overset{\text{def}}{=} U_{\text{NF}} \otimes_{k_{\text{NF}}} k_{\text{LF}}, \]

whose smooth compactification is naturally isomorphic to \( X_{\text{LF}} \), and an abelian variety

\[ J_{\text{LF}} \overset{\text{def}}{=} J_{\text{NF}} \otimes_{k_{\text{NF}}} k_{\text{LF}}, \]

which is naturally isomorphic to the Jacobian variety of \( X_{\text{LF}} \), over \( k_{\text{LF}} \). Moreover, we shall write

\[ \Delta_{X_{\text{LF}}} \overset{\text{def}}{=} \Delta_{X_{\text{NF}}}, \quad \Delta_{U_{\text{LF}}} \overset{\text{def}}{=} \Delta_{U_{\text{NF}}}, \quad \Delta_{J_{\text{LF}}} \overset{\text{def}}{=} \Delta_{J_{\text{NF}}}, \]

\[ \Pi_{X_{\text{LF}}} \overset{\text{def}}{=} \Pi_{X_{\text{NF}}} \times_{G_{\text{NF}}} G_{\text{LF}}, \quad \Pi_{U_{\text{LF}}} \overset{\text{def}}{=} \Pi_{U_{\text{NF}}} \times_{G_{\text{NF}}} G_{\text{LF}}, \quad \Pi_{J_{\text{LF}}} \overset{\text{def}}{=} \Pi_{J_{\text{NF}}} \times_{G_{\text{NF}}} G_{\text{LF}}. \]

Note that \( \Delta_{(-)} \) is naturally isomorphic to the pro-p geometric fundamental group of \( (-) \) (i.e., the maximal pro-p quotient of the fundamental group of \( (-) \otimes_{k_{\text{LF}}} \overline{k}_{\text{LF}} \)), and \( \Pi_{(-)} \) is naturally isomorphic to the geometrically pro-p fundamental group of \( (-) \) (i.e., the quotient of the fundamental group of \( (-) \) by the kernel of the natural surjection from the fundamental group of \( (-) \otimes_{k_{\text{LF}}} \overline{k}_{\text{LF}} \) to its maximal pro-p quotient).

**Definition 3.1.** Let \( \Box \) be either NF or LF.

(i) We shall write

\[ G_{\Box} \rightarrow Q_{\Box} \overset{\text{def}}{=} \text{Im}(G_{\Box} \rightarrow \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Gal}(Q^{\text{un-p}}/\mathbb{Q})), \]

where \( G_{\Box} \rightarrow \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \) is the homomorphism determined by the natural inclusions \( \mathbb{Q} \hookrightarrow k_{\Box} \) and \( \overline{\mathbb{Q}} \hookrightarrow \overline{k}_{\Box} \).

(ii) It follows from Lemma 2.1(ii) that the pro-p outer Galois representation \( G_{\Box} \rightarrow \text{Out}(\Delta_{X_{\Box}}) \) (respectively, \( G_{\Box} \rightarrow \text{Out}(\Delta_{U_{\Box}}) \)) associated to \( X_{\Box} \) (respec-
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1 \to \Delta_{X\square} \to \text{Aut}(\Delta_{X\square}) \to \text{Out}(\Delta_{X\square}) \to 1

and

1 \to \Delta_{U\square} \to \text{Aut}(\Delta_{U\square}) \to \text{Out}(\Delta_{U\square}) \to 1

(where we refer to “Profinite groups” in §0 concerning the topologies of “Aut” and “Out”). We shall write

$$\Pi^Q_{X\square} \quad (\text{respectively}, \quad \Pi^Q_{U\square})$$

for the profinite group obtained by pulling back the respective exact sequence above via the resulting (continuous) homomorphism $Q\square \to \text{Out}(\Delta_{X\square})$ (respectively, $Q\square \to \text{Out}(\Delta_{U\square})$). Note that it follows from the definitions of $\Pi^Q_{X\square}$ and $\Pi^Q_{U\square}$ that we have commutative diagrams of profinite groups

\[
\begin{array}{cccccc}
1 & \longrightarrow & \Delta_{X\square} & \longrightarrow & \Pi_{X\square} & \longrightarrow & G\square & \longrightarrow & 1 \\
\| & & \downarrow & & \downarrow & & & & \\
1 & \longrightarrow & \Delta_{X\square} & \longrightarrow & \Pi^Q_{X\square} & \longrightarrow & Q\square & \longrightarrow & 1 \\
\end{array}
\]

and

\[
\begin{array}{cccccc}
1 & \longrightarrow & \Delta_{U\square} & \longrightarrow & \Pi_{U\square} & \longrightarrow & G\square & \longrightarrow & 1 \\
\| & & \downarrow & & \downarrow & & & & \\
1 & \longrightarrow & \Delta_{U\square} & \longrightarrow & \Pi^Q_{U\square} & \longrightarrow & Q\square & \longrightarrow & 1 \\
\end{array}
\]

where the horizontal sequences are exact, the vertical arrows are surjective, and the right-hand squares are cartesian.

(iii) We shall write

$$\Pi^Q_{J\square} \overset{\text{def}}{=} \Pi^Q_{X\square}/[\Delta_{X\square}, \Delta_{X\square}]$$

(where we refer to “Profinite groups” in §0 concerning the notation $[-, -]$).

Thus, the isomorphism

$$\Pi_{X\square}/[\Delta_{X\square}, \Delta_{X\square}] \cong \Pi_{J\square}$$

induced by $\iota_\square$ determines a commutative diagram of profinite groups

\[
\begin{array}{cccccc}
1 & \longrightarrow & \Delta_{J\square} & \longrightarrow & \Pi_{J\square} & \longrightarrow & G\square & \longrightarrow & 1 \\
\| & & \downarrow & & \downarrow & & & & \\
1 & \longrightarrow & \Delta_{J\square} & \longrightarrow & \Pi^Q_{J\square} & \longrightarrow & Q\square & \longrightarrow & 1 \\
\end{array}
\]
where the horizontal sequences are exact, the vertical arrows are surjective, and the right-hand square is cartesian.

**Remark 3.1.1.** It follows from the various definitions involved that the open immersion $U \sqsubseteq X$ and the closed immersion $i_O : X \sqsubseteq J$ determine a commutative diagram of profinite groups

\[
\begin{array}{cccc}
1 & \rightarrow & \Delta U & \rightarrow & \Pi^O_Q & \rightarrow & Q & \rightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \rightarrow & \Delta X & \rightarrow & \Pi^X_Q & \rightarrow & Q & \rightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \rightarrow & \Delta J & \rightarrow & \Pi^J_Q & \rightarrow & Q & \rightarrow & 1
\end{array}
\]

where the horizontal sequences are exact, and the vertical arrows are surjective.

**Lemma 3.2** (Freeness of certain Galois groups). Suppose that $p$ is regular. Then the profinite groups $Q_{NF}$ and $Q_{LF}$ are free pro-$p$ groups.

**Proof.** Since a closed subgroup of a free pro-$p$ group is a free pro-$p$ group (cf. [16, Corollary 7.7.5]), to prove Lemma 3.2, it suffices to verify that $\text{Gal}(Q_{un}/Q(\zeta_p))$ is free pro-$p$. On the other hand, this follows from [15, the first example following Theorem 5].

The observation given in Remark 3.2.1 below was communicated to the author by S. Mochizuki.

**Remark 3.2.1.** Y. Ihara posed a problem concerning the kernel of the pro-$p$ outer Galois representation associated to a tripod, which may be stated, in the notation of the present paper, as follows (cf. e.g., [6, Lecture I, §2]):

(P$_{NF}$): If $k_{NF} = \mathbb{Q}(\zeta_p)$, then is the natural surjection $Q_{NF} \twoheadrightarrow G_{NF}[T]$ (cf. Lemma 2.1(ii)) bijective? In other words, if $k_{NF} = \mathbb{Q}(\zeta_p)$, then does the extension field of $k_{NF}$ corresponding to the kernel of the pro-$p$ outer Galois representation associated to $\mathbb{P}^1_{k_{NF}} \setminus \{0, 1, \infty\}$ coincide with the maximal Galois extension of $k_{NF}$ that is pro-$p$ and unramified over every nonarchimedean prime of $k_{NF}$ whose residue characteristic is $\neq p$?

The problem (P$_{NF}$) remains unsolved. Some of the ideas for the arguments appearing in the present paper arise from the consideration of the problem (P$_{NF}$).

On the other hand, by Lemma 3.2, one may verify that the following local analogue (P$_{LF}$) of the above problem (P$_{NF}$) does not have an affirmative answer at least if $p$ is regular:

\[
\begin{array}{cccc}
1 & \rightarrow & \Delta U & \rightarrow & \Pi^O_Q & \rightarrow & Q & \rightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \rightarrow & \Delta X & \rightarrow & \Pi^X_Q & \rightarrow & Q & \rightarrow & 1 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \rightarrow & \Delta J & \rightarrow & \Pi^J_Q & \rightarrow & Q & \rightarrow & 1
\end{array}
\]
If $k_{LF} = \mathbb{Q}_p(\zeta_p)$, then does the extension field of $k_{LF}$ corresponding to the kernel of the pro-$p$ outer Galois representation associated to $P^1_{k_{LF}} \setminus \{0, 1, \infty\}$ coincide with the maximal Galois extension of $k_{LF}$ that is pro-$p$?

Indeed, if we write $G_{LF}^{(p)}$ for the maximal pro-$p$ quotient of $G_{LF}$, and $G_{LF}[T]$ for the quotient of $G_{LF}$ by the kernel of the pro-$p$ outer Galois representation associated to $P^1_{k_{LF}} \setminus \{0, 1, \infty\}$, then it follows immediately from Lemma 2.1(ii) that the natural surjection $G_{LF} \to G_{LF}[T]$ factors through $G_{LF} \to Q_{LF}$; thus, we have a sequence of natural surjections

$$G_{LF} \to G_{LF}^{(p)} \to Q_{LF} \to G_{LF}[T].$$

Assume that $(P_{LF})$ has an affirmative answer, i.e., the natural surjection $G_{LF}^{(p)} \to G_{LF}[T]$ is an isomorphism. Then the natural surjection $G_{LF}^{(p)} \to Q_{LF}$ is an isomorphism. On the other hand, since $p$ is regular, it follows from Lemma [3.2] that $Q_{LF}$, hence also $G_{LF}^{(p)}$, is free pro-$p$—in contradiction to [12, Theorem 7.5.11(ii)]. Therefore, the natural surjection $G_{LF}^{(p)} \to G_{LF}[T]$ is not an isomorphism.

**Lemma 3.3** (Factorization of certain pro-$p$ Galois sections). Let $\square$ be either $NF$ or $LF$, $s_{NF}$ a pro-$p$ Galois section of $J_{NF}$ (cf. Definition [1.1(i)]), and $s_{LF}$ the pro-$p$ Galois section of $J_{LF}$ obtained as the restriction of $s_{NF}$. Then the composite

$$G_{\square} \xrightarrow{s_{\square}} \Pi_{J_{\square}} \xrightarrow{\Pi_{Q_{\square}}}$$

factors through $G_{\square} \to Q_{\square}$, i.e., the composite determines a section of the natural surjection $\Pi_{Q_{\square}} \to Q_{\square}$.

**Proof.** First, we verify Lemma 3.3 in the case where $\square = NF$. It follows from the definition of the quotient $Q_{NF}$ of $G_{NF}$ that, to prove Lemma 3.3 in the case where $\square = NF$, it suffices to show that the following two assertions hold:

(i) The composite $G_{NF} \xrightarrow{s_{NF}} \Pi_{J_{NF}} \to \Pi_{Q_{NF}}$ factors through a pro-$p$ quotient of $G_{NF}$.

(ii) If $l$ is a nonarchimedean prime of $k_{NF}$ whose residue characteristic is $\neq p$, and $I_l \subseteq G_{NF}$ is an inertia subgroup of $G_{NF}$ associated to $l$, then the image of the composite

$$I_l \xrightarrow{G_{NF} \xrightarrow{s_{NF}}} \Pi_{J_{NF}} \to \Pi_{Q_{NF}}$$

is $\{1\}$.

Now (i) follows from the fact that $\Pi_{Q_{NF}}$ is pro-$p$. Next, we verify (ii). It follows immediately from the definition of $Q_{NF}$ that the image of the composite $I_l \xrightarrow{G_{NF} \xrightarrow{s_{NF}}} \Pi_{J_{NF}} \to \Pi_{Q_{NF}}$ is contained in $\Delta_{J_{NF}} \subseteq \Pi_{J_{NF}}$; in particular, if we write
$D_l \subseteq G_{NF}$ for the decomposition subgroup of $G_{NF}$ associated to $I$ containing $I_l \subseteq G_{NF}$, then we obtain a $D_l/I_l$-equivariant homomorphism $I_l \rightarrow \Delta_{J_{NF}}$, which factors through the abelianization of the maximal pro-$p$ quotient of $I_l$ (cf. (i)). On the other hand, since $J_{NF}$ has good reduction at $l$ (cf. condition (D) in §2) (respectively, the residue characteristic of $l$ is $\neq p$), the weight of the action of the Frobenius element in $D_l/I_l$ on $\Delta_{J_{NF}}$ (respectively, on the abelianization of the maximal pro-$p$ quotient of $I_l$) is 1 (respectively, 2). Thus, it follows that the image of the $D_l/I_l$-equivariant homomorphism $I_l \rightarrow \Delta_{J_{NF}}$ is $\{1\}$. This completes the proof of Lemma 3.3 in the case where $\square = NF$.

Next, we verify the assertion of Lemma 3.3 in the case where $\square = LF$. It follows from the various definitions involved that we have a commutative diagram of profinite groups

$$
\begin{array}{cccccc}
G_{LF} & \xrightarrow{s_{LF}} & \Pi_{J_{LF}} & \rightarrow & \Pi_{J_{NF}}^Q & \rightarrow & Q_{LF} \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
G_{NF} & \xrightarrow{s_{NF}} & \Pi_{J_{NF}} & \rightarrow & \Pi_{J_{NF}}^Q & \rightarrow & Q_{NF}
\end{array}
$$

where the vertical arrows are injective. Therefore, the assertion for $\square = LF$ follows immediately from the assertion for $\square = NF$, together with the definition of the quotient $Q_{\square}$ of $G_{\square}$.

**Lemma 3.4** (Uniqueness of certain pro-$p$ Galois sections). Let $\square$ be either NF or LF, $i = 1$ or 2, $s_{NF}$ a pro-$p$ Galois section of $J_{NF}$ (cf. Definition 1.1(i)), and $s_{LF}$ the pro-$p$ Galois section of $J_{LF}$ obtained as the restriction of $s_{NF}$. If the $\Delta_{J_{\square}}$-conjugacy classes of the composites

$$
G_{\square} \xrightarrow{s_{\square}} \Pi_{J_{\square}} \rightarrow \Pi_{J_{\square}}^Q, \quad G_{\square} \xrightarrow{s_{\square}} \Pi_{J_{\square}} \rightarrow \Pi_{J_{\square}}^Q
$$

coincide, then the conjugacy classes of the pro-$p$ Galois sections $s_{\square}^1$, $s_{\square}^2$ coincide.

**Proof.** This follows immediately from Lemma 3.3, together with the existence of the exact sequence of Galois cohomology groups

$$
0 \rightarrow H^1(Q_{\square}, \Delta_{J_{\square}}) \rightarrow H^1(G_{\square}, \Delta_{J_{\square}}) \rightarrow H^1(N_{\square}, \Delta_{J_{\square}})^{Q_{\square}},
$$

where $N_{\square}$ is the kernel of the natural surjection $G_{\square} \rightarrow Q_{\square}$. \hfill $\square$

**Theorem 3.5** (Lifting of certain pro-$p$ Galois sections). Let $\square$ be either NF or LF, $s_{NF}$ a pro-$p$ Galois section of $J_{NF}$ (cf. Definition 1.1(i)), and $s_{LF}$ the pro-$p$ Galois section of $J_{LF}$ obtained as the restriction of $s_{NF}$. Suppose that $p$ is regular. Then there exists a pro-$p$ Galois section $\tilde{s}_{\square}$ of $X_{\square}$ (respectively, $U_{\square}$) such that the
pro-p Galois section of \( J \Box \) obtained as the composite

\[
G \Box \overset{s_Q}{\longrightarrow} \Pi_{X \Box} \rightarrow \Pi_{J \Box} \quad \text{(respectively, } G \Box \overset{s_Q}{\longrightarrow} \Pi_{U \Box} \rightarrow \Pi_{J \Box} \text{),}
\]

where the surjection is induced by \( \iota_Q \), coincides with \( s \Box \).

**Proof.** It follows from Lemma 3.3 that the composite \( G \Box \overset{s_Q}{\longrightarrow} \Pi_{J \Box} \rightarrow \Pi_{Q \Box} \) determines a section \( s_Q \Box \) of the natural surjection \( \Pi_{Q \Box} \rightarrow Q \Box \). On the other hand, since \( Q \Box \) is a free pro-p group (cf. Lemma 3.2), and \( \Pi_{X \Box} \) (respectively, \( \Pi_{U \Box} \)) is a pro-p group, there exists a section \( \tilde{s}_Q \Box \) of the natural surjection \( \Pi_{X \Box} \rightarrow \Pi_{Q \Box} \) (respectively, \( \Pi_{U \Box} \rightarrow \Pi_{Q \Box} \)) such that the composite \( Q \Box \overset{s_Q}{\longrightarrow} \Pi_{X \Box} \rightarrow \Pi_{Q \Box} \) (respectively, \( Q \Box \overset{s_Q}{\longrightarrow} \Pi_{U \Box} \rightarrow \Pi_{Q \Box} \)) coincides with \( s_Q \Box \). Therefore, since the right-hand squares in the commutative diagrams of profinite groups

\[
\begin{array}{cccccc}
1 & \longrightarrow & \Delta_{X \Box} & \longrightarrow & \Pi_{X \Box} & \longrightarrow & G \Box & \longrightarrow & 1 \\
|| & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \longrightarrow & \Delta_{X \Box} & \longrightarrow & \Pi_{Q \Box}^{Q \Box} & \longrightarrow & Q \Box & \longrightarrow & 1 \\
\end{array}
\]

and

\[
\begin{array}{cccccc}
1 & \longrightarrow & \Delta_{U \Box} & \longrightarrow & \Pi_{U \Box} & \longrightarrow & G \Box & \longrightarrow & 1 \\
|| & & \downarrow & & \downarrow & & \downarrow & & \\
1 & \longrightarrow & \Delta_{U \Box} & \longrightarrow & \Pi_{Q \Box}^{Q \Box} & \longrightarrow & Q \Box & \longrightarrow & 1 \\
\end{array}
\]

are cartesian (cf. Definition 3.3(ii)), by pulling back the section \( \tilde{s}_Q \Box \) via \( G \Box \rightarrow Q \Box \), we obtain a section \( \tilde{s}_Q \Box \) of the natural surjection \( \Pi_{X \Box} \simeq \Pi_{Q \Box}^{Q \Box} \times_{Q \Box} G \Box \rightarrow G \Box \simeq Q \Box \times_{Q \Box} G \Box \) (respectively, \( \Pi_{U \Box} \simeq \Pi_{Q \Box}^{Q \Box} \times_{Q \Box} G \Box \rightarrow G \Box \simeq Q \Box \times_{Q \Box} G \Box \)). Now it follows from Lemma 3.4, together with the definition of \( \tilde{s}_Q \Box \), that—by replacing \( \tilde{s}_Q \Box \) by a suitable \( \Delta_{X \Box} \) (respectively, \( \Delta_{U \Box} \))-conjugate of \( \tilde{s}_Q \Box \)—the pro-p Galois section \( \tilde{s}_Q \Box \) of \( X \Box \) (respectively, \( U \Box \)) satisfies the condition in the statement of Theorem 3.5. This completes the proof of Theorem 3.5. \( \square \)

**Corollary 3.6** (Existence of certain pro-p Galois sections). Let \( \Box \) be either NF or LF. Suppose that \( p \) is regular. Then for any \( x_{NF} \in J_{NF}(k_{NF}) \), there exists a pro-p Galois section \( s \Box \) of \( X \Box \) (respectively, \( U \Box \)) (cf. Definition 1.1(ii)) such that the conjugacy class of the pro-p Galois section of \( J \Box \) obtained as the composite

\[
G \Box \overset{s \Box}{\longrightarrow} \Pi_{X \Box} \rightarrow \Pi_{J \Box} \quad \text{(respectively, } G \Box \overset{s \Box}{\longrightarrow} \Pi_{U \Box} \rightarrow \Pi_{J \Box} \text{),}
\]
where the surjection is induced by $\iota_O$, coincides with the conjugacy class of a pro-$p$ Galois section of $J$ which arises from the $k_{\text{NF}}$-rational point $x_{\text{NF}} \in J_{\text{NF}}(k_{\text{NF}}) \subseteq J_{\text{LF}}(k_{\text{LF}})$ (cf. Definition 1.1(ii)).

Proof. This follows immediately from Theorem 3.5.

§4. Existence of nongeometric pro-$p$ Galois sections

Proof of Theorem A. First, I claim that there exists a finite extension $k'_{\text{NF}} \subseteq \mathbb{Q}^{\text{unp}}$ of $k_{\text{NF}}$ contained in $\mathbb{Q}^{\text{unp}}$ which satisfies the following condition:

(†): There exists a $k'_{\text{NF}}$-rational point $x_{\text{NF}} \in J_{\text{NF}}(k'_{\text{NF}})[p^\infty]$ of the Jacobian variety $J_{\text{NF}}$ of $X_{\text{NF}}$ which is annihilated by a power of $p$ such that

$$v_p(\text{ord}(y)) < v_p(\text{ord}(x_{\text{NF}}))$$

for any $y \in J_{\text{NF}}(\overline{\mathbb{Q}})[\text{tor}] \cap \iota_O(X_{\text{NF}}(\overline{\mathbb{Q}}))$, where $v_p$ is the $p$-adic valuation on $\mathbb{Z}$ such that $v_p(p) = 1$, and $J_{\text{NF}}(\overline{\mathbb{Q}})[\text{tor}] \subseteq J_{\text{NF}}(\overline{\mathbb{Q}})$ is the maximal torsion subgroup of $J_{\text{NF}}(\overline{\mathbb{Q}})$.

Indeed, it follows from Lemma 2.1(ii) that the natural surjection $G_{\text{NF}} \twoheadrightarrow G_{\text{NF}}[J]$ factors through the natural surjection $G_{\text{NF}} \twoheadrightarrow \mathbb{Q}_{\text{NF}}$; thus, the above claim follows immediately from the fact that the intersection

$$J_{\text{NF}}(\overline{\mathbb{Q}})[\text{tor}] \cap \iota_O(X_{\text{NF}}(\overline{\mathbb{Q}}))$$

is finite (cf. [14 Théorème 1]). This completes the proof of the above claim.

The rest of this proof is devoted to verifying the fact that this finite extension $k'_{\text{NF}} \subseteq \mathbb{Q}^{\text{unp}}$ of $k_{\text{NF}}$ satisfies the condition in the statement of Theorem A. Let $\square$ be either NF or LF, $k''_{\text{NF}} \subseteq \mathbb{Q}^{\text{unp}}$ a finite extension of $k_{\text{NF}}$ contained in $\mathbb{Q}^{\text{unp}}$, and $k''_{\text{NF}}$ the completion of $k''_{\text{NF}}$ at a nonarchimedean prime of $k''_{\text{NF}}$ of residue characteristic $p$. Moreover, let $x_{\text{NF}} \in J_{\text{NF}}(k''_{\text{NF}})[p^\infty]$ be a $k''_{\text{NF}}$-rational point which satisfies the condition in (†) in the above claim, i.e., a $k''_{\text{NF}}$-rational point of $J_{\text{NF}}$ which is annihilated by a power of $p$ such that

$$v_p(\text{ord}(y)) < v_p(\text{ord}(x_{\text{NF}}))$$

for any $y \in J_{\text{NF}}(\overline{\mathbb{Q}})[\text{tor}] \cap \iota_O(X_{\text{NF}}(\overline{\mathbb{Q}}))$. Then it follows from Corollary 3.6 that there exists a pro-$p$ Galois section $s_{\square}$ of the hyperbolic curve $X_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\text{NF}}$ (respectively, $U_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\text{NF}}$) over $k''_{\text{NF}}$ such that the conjugacy class of the pro-$p$ Galois section of $J_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\text{NF}}$ determined by $s_{\square}$ coincides with the conjugacy class of a pro-$p$ Galois section of $J_{\text{NF}} \otimes_{k_{\text{NF}}} k''_{\text{NF}}$ which arises from the $k''_{\text{NF}}$-rational point $x_{\text{NF}} \in J_{\text{NF}}(k''_{\text{NF}}) \subseteq J_{\text{NF}}(k''_{\text{LF}})$. 

Assume that the pro-$p$ Galois section of $X_{NF} \otimes_{k_{NF}} k_{\square}''$ determined by $s_{\square}$ arises from a $k_{\square}''$-rational point $x \in X_{NF}(k_{\square}''')$ (cf. Remarks 1.1.1 and 1.1.3). Now it follows from the well-known theorem of Mordell–Weil if $\square = NF$ or [8, Theorem 7] if $\square = LF$ that the kernel of the pro-$p$ Kummer homomorphism for $J_{NF} \otimes_{k_{NF}} k_{\square}'$, 
\[ \kappa: J_{NF}(k_{\square}''') \to H^1(k_{\square}'', \Delta_{J_{NF}}), \]
coincides with the subgroup $J_{NF}(k_{\square}''')_{p}$ of $J_{NF}(k_{\square}''')$ consisting of the torsion elements $a \in J_{NF}(k_{\square}''')$ of $J_{NF}(k_{\square}''')$ such that every prime divisor of the order $\text{ord}(a)$ of $a$ is $\neq p$. In particular, it follows from Remark 1.1.4, together with the various definitions involved, that the images of $x_{NF}$ and $\iota_{O}(x)$ in $J_{NF}(k_{\square}''')/J_{NF}(k_{\square}''')_{p}$ coincide; thus, since $x_{NF} \in J_{NF}(k_{\square}''')[\text{tor}]$, it follows that $\iota_{O}(x) \in J_{NF}(k_{LF}'')[\text{tor}] \cap \iota_{O}(X_{NF}(k_{LF}''))$—in contradiction to the assumption that $x_{NF}$ satisfies the condition in (†) in the above claim. This completes the proof of the fact that the finite extension $k_{\square}'$ of $k_{NF}$ satisfies the condition in the statement of Theorem A.

**Proof of Theorem B.** Since the set of $k_{NF}$-rational points of the Jacobian variety of $X_{NF}$ is infinite (cf. [2, Theorem 2.1]), it follows immediately from the well-known theorem of Mordell–Weil that the set of conjugacy classes of pro-$p$ Galois sections of the Jacobian variety of $X_{NF}$ is infinite (cf. the discussion concerning the kernel of the pro-$p$ Kummer homomorphism $\kappa$ in the proof of Theorem A, and also Remark 1.1.4). Therefore, Theorem B follows immediately from Corollary 3.6. This completes the proof of Theorem B.

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**References**


